

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Crane, David

Under Secretary, Department of Energy

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Energy and Natural Resources
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Crane, David [electronically signed on 04/08/2022 by Crane, David in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Richards, Jocelyn, Certifying Official [electronically signed on 08/11/2022 by Richards, Jocelyn in Integrity.gov]

Other review conducted by

/s/ Comfort, Melinda, Ethics Official [electronically signed on 08/11/2022 by Comfort, Melinda in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 08/15/2022 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	CRIS Services, LLC	Princeton, New Jersey	LLC	Co-owner/Member	9/2020	Present
2	JERA. Co., Inc. (power generation services)	Tokyo, Japan	Corporation	Director	4/2020	Present
3	Saudi Electricity Company	Riyadh, Saudi Arabia	Corporation	Director; Member of the Executive Committee; Member of the Risk Committee; Member of the Health Safety Security & Environment & Sustainability Committee	1/2021	Present
4	Tata Steel Ltd. (steel manufacturing)	Mumbai, India	Corporation	Director; Member of Audit Committee; Member of the Safety, Health and Environment Committee	10/2021	Present
5	Heliogen, Inc. (Renewable Energy Company, Solar)	Pasadena, California	Corporation	Director; Member of Audit Committee; Chairman of the Compensation and Human Capital Committee	12/2021	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
6	Climate Transition Capital Acquisition I B.V (European climate SPAC, private LLC)	Amsterdam, Netherlands	LLC	Strategic Partner; Non-executive Member of the Board of Directors	6/2021	Present
7	Source Global, PBC (renewable drinking water technology)	Phoenix, Arizona	Corporation	Director	10/2021	Present
8	Inspire Clean Energy	Santa Monica, California	Corporation	Director	12/2019	9/2021
9	Climate Group North America	Washington, District of Columbia	Non-Profit	Director	5/2020	Present
10	The B Team	New York, New York	Non-Profit	Director; Chairman of Audit Committee	12/2015	Present
11	Savage Companies [Supply chain transport, industrial and environmental services]	Salt Lake City, Utah	Corporation	Independent Director	4/2018	7/2020
12	Elemental Excelerator	Honolulu, Hawaii	Non-Profit	Director; Chairman of Audit Committee	2/2021	Present
13	EN+ Group PLC	London, United Kingdom	Corporation	Independent Trustee	1/2019	3/2022
14	Climate Change Crisis Real Impact I Acquisition, Corporation	Princeton, New Jersey	Corporation	CEO	1/2020	7/2021
15	Climate Change Crisis Impact I Acquisition Holdings, LLC,	Princeton, New Jersey	LLC	Manager	1/2020	7/2021
16	Climate Change Crisis Real Impact I Sponsor, LLC	Princeton, New Jersey	LLC	Managing Director	1/2020	7/2021
17	Climate Real Impact Solutions II Acquisition Corporation	Princeton, New Jersey	Corporation	CEO	12/2020	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
18	Climate Real Impact Solutions II Sponsor, LLC	Princeton, New Jersey	LLC	Manager	1/2021	Present
19	Climate Real Impact Solutions II Consortium, LLC	Princeton, New Jersey	LLC	Managing Director	12/2020	Present
20	Climate Real Impact Solutions III Acquisition Corporation	Princeton, New Jersey	Corporation	CEO	1/2021	Present
21	Climate Real Impact Solutions III Consortium, LLC	Princeton, New Jersey	LLC	Managing Director	12/2021	Present
22	Climate Real Impact Solutions III Sponsor, LLC	Princeton, New Jersey	LLC	Manager	3/2021	Present
23	Family Trust #1 (Irrevocable)	Lawrenceville, New Jersey	Trust	Trustee	11/2008	Present
24	Savage Enterprises Holdings [Supply chain transport, industrial and environmental services]	Salt Lake City, Utah	Corporation	Independent Director	4/2018	7/2020
25	CRIS Europe I, LLC	Princeton, New Jersey	LLC	Principal Member	6/2021	Present
26	Climate Group North America	Washington, District of Columbia	Non-Profit	Member, Global Governance and Nominations Committee	10/2021	Present
27	Orchard Hill Family LLC	Princeton, New Jersey	LLC	Manager; Member	9/2020	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Heliogen, Inc. (renewable energy company, solar)				

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1	Heliogen, Inc. [received for Q1 2022]		N/A		Directors Fees	\$12,500
1.2	Heliogen, Inc. unvested restricted stock units		N/A	\$100,001 - \$250,000		None (or less than \$201)
1.3	Heliogen, Inc. [received for Q1 2022]		N/A		Audit Committee Member Fees	\$2,500
1.4	Heliogen, Inc. [received for Q1 2022]		N/A		Chair of Human Capital and Compensation Committee Fees	\$5,000
2	Saudi Electricity Company					
2.1	Saudi Electricity Company [received for meetings Q1-Q4 2021]		N/A		Board of Directors sitting fees	\$7,290
2.2	Saudi Electricity Company [receivable for sitting fees at Board meetings Q1 2022]	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.3	Saudi Electricity Company [received for meetings Q1-Q4 2021]		N/A		Executive Committee Member sitting fees	\$1,620
2.4	Saudi Electricity Company [bonus received for Q1-Q4 2021]		N/A		Executive Committee Member Bonus	\$29,834
2.5	Saudi Electricity Company [receivable for Executive Committee Member Bonus Q1 2022]	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.6	Saudi Electricity Company [fees received for meetings Q1-Q4 2021]		N/A		Risk Committee sitting fees	\$4,795
2.7	Saudi Electricity Company [received for Risk Committee Q1-Q4 2021]		N/A		Risk Committee Member Bonus	\$34,629
2.8	Saudi Electricity Company [Receivable for Risk Committee Member Bonus for Q1 2022]	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.9	Saudi Electricity Company [received for HSSE & Sustainability committee meetings Q1-Q4 2021]	N/A		Health Safety Security & Environment (HSSE) & Sustainability Committee Sitting fees	\$3,995
2.10	Saudi Electric Company [bonus received for Q1-Q4 2021]	N/A		Health Safety Security & Environment (HSSE) & Sustainability Committee Bonus	\$25,972
2.11	Saudi Electric Company [receivable bonus for Health Safety Security & Environment (HSSE) & Sustainability Q1 2022]	See Endnote	N/A	\$1,001 - \$15,000	None (or less than \$201)
3	JERA. Co., Inc. (power generation services) [received for Q1 2021 through Q1 2022]	N/A		Director Fees	\$177,170
4	Source Global, PBC (renewable drinking water technology)				
4.1	Source Global, PBC unvested restricted stock awards	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
4.2	Source Global vested restricted stock awards	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
5	NRG Energy, Inc. defined benefit plan (value not readily ascertainable): eligible for \$2,726/month at age 65)	N/A			None (or less than \$201)
6	IRA #1	No			
6.1	BNY Mellon Core Plus Fund Class A Shares (DCPAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.2	BNY Mellon Dynamic Value Fund Class A Shares (DAGVX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	IRA #2	No			
7.1	Invesco Charter Fund Class A Shares (CHTRX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
7.2	Invesco Charter Fund Class C Shares (CHTCX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	U.S. brokerage - cash account (SEP IRA)	N/A	\$100,001 - \$250,000		None (or less than \$201)
9	IRA #3	No			
9.1	iShares Core MSCIEmerging Markets ETF (IEMG)	Yes	\$50,001 - \$100,000		None (or less than \$201)
9.2	iShares Intermediate Government/Credit Bond ETF (GVI)	Yes	\$100,001 - \$250,000		None (or less than \$201)
9.3	iShares Russell 1000 Growth ETF (IWF)	Yes	\$250,001 - \$500,000		None (or less than \$201)
9.4	iShares Russell 1000 Value ETF (IWD)	Yes	\$100,001 - \$250,000		None (or less than \$201)
9.5	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.6	SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	Yes	\$50,001 - \$100,000		None (or less than \$201)
9.7	SPDR S&P Bank ETF (KBE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.8	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.9	Columbia Acorn Fund Institutional Class Shares (ACRNX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
9.10	Fidelity Diversified International Fund (FDIVX)	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.11	Fidelity Large Cap Stock Fund (FLCSX)		Yes	\$250,001 - \$500,000		None (or less than \$201)
9.12	Fidelity US Bond Index Fund (FXNAX)		Yes	\$100,001 - \$250,000		None (or less than \$201)
10	Tata Steel Ltd. (steel manufacturing)					
10.1	Tata Steel Ltd. [receivable for Q4 2021-Q1 2022 Director Fees]	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.2	Tata Steel Ltd. [receivable for Q4 2021- Q1 2022 Audit Committee Member Fees]	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	EVGO Inc. (electric car charging stations)					
11.1	EVGO Inc. restricted stock units	See Endnote	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
11.2	EVGO Inc. (electric car charging stations) warrants		N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
11.3	EVGO Inc. class A shares (founder and PIPE shares)		N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
12	CRIS Services, LLC - (Service Provider for SPACS) (Cash)		N/A	\$50,001 - \$100,000		None (or less than \$201)
13	Climate Real Impact Solutions II Consortium, LLC (CRIS II) (advanced decarbonization solutions for homes office and transportation) (value not readily ascertainable)	See Endnote	No			None (or less than \$201)
13.1	Climate Real Impact Solutions II Sponsor, LLC (CRIS II) [Management Services]		No			None (or less than \$201)
13.1.1	Climate Real Impact Solutions II Acquisition Corporation (CRIS II SPAC) [advanced decarbonization solutions for homes office and transportation]		N/A			None (or less than \$201)
14	EN+ Group PLC - [Green Energy and aluminum company]		N/A		Trustee Fees	\$150,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	CTC SPAC unvested restricted sponsor shares	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
16	Climate Transition Capital Acquisition I B.V. [CTC SPAC]	N/A		Salary	\$41,790
17	CTC SPAC unvested restricted warrants	N/A	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	NRG Energy, Inc.	Princeton , New Jersey	I will continue to participate in this defined benefit plan.	12/2003

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
2	Heliogen Inc. (renewable energy company, solar)	See Endnote	Pasadena, California	<p>Pursuant to the terms of my agreement with the company, as a new member of the Board of Directors, I was granted an allotment of unvested restricted stock units in December 2021. The units will vest in equal 1/3 tranches over a period of 3 years. The first vesting date would be December 30, 2022, and then annually from the original date of grant. Vesting of shares is subject to my continued service on the company's Board.</p> <p>Additionally, as a Board member I am entitled to receive an annual tranche of unvested restricted stock units at the company's annual board meeting. This year's meeting is scheduled for July 2022. These shares will fully vest on the earlier of (i) one year following the date of grant or (ii) the day before the next annual meeting following the applicable grant date, and are also subject to my continued service on our Board.</p> <p>When any of my unvested restricted stock units vest, I will receive company stock. Upon my confirmation, I will resign from my position on the Board. As part of my agreement with the company, I will forfeit any unvested restricted stock units, but I will be permitted to retain any stock resulting from the vesting of my restricted stock units as of the date of my departure. If any unvested restricted stock units vest prior to my resignation and I receive stock, I will divest these interests as soon as practicable but not later than 90 days after my confirmation.</p>	12/2021

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
3	Source Global PBC (renewable drinking water)	Phoenix, Arizona	<p>Pursuant to the terms of my agreement with the company, as a new director, I received an allotment of unvested restricted stock awards that vest in equal installments monthly for three years from the date of grant, and become restricted stock.</p> <p>Upon my confirmation I will resign from my position with the company and pursuant to my agreement with the company, I will be permitted to retain all awards that have vested as of the date of my departure, and all unvested awards will be forfeited. I will divest all of my vested awards in Source Global PBC as soon as practicable, but not later than 90 days after my confirmation or I will forfeit them.</p>	8/2022

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
4	Climate Real Impact Solutions II Consortium LLC, Climate Real Impact Solutions II Sponsor LLC and Climate Real Impact Solutions II Acquisition Corp (SPAC) [Collectively, CRIS II SPAC]	Princeton, New Jersey	<p>Pursuant to the terms of my agreement with the company, I will only receive shares and warrants should there be a combination. All warrants and shares remaining [at sponsor] would first be distributed 50:50 between the CRIS Consortium and PIMCo, until a “return of capital” for cash amounts previously invested is achieved on the basis of the nominal value of the shares and warrants, with such value calculated using the average closing share price and average warrant price for the 10 days leading up to the official financial close of the business combination.</p> <p>Thereafter, if any shares and warrants remain, they will be distributed first, 50:50 to CRIS Consortium and to PIMCo. Then, of the shares and warrants that CRIS Consortium receives (return of capital, and whatever thereafter), I will directly receive 14.7% of these shares and warrants. Additionally, my family investment vehicle, Orchard Hill Family LLC would receive 16.67% of these shares and warrants, of which 25% I would retain personally through Orchard Hill Family LLC.</p> <p>If, and only if, CRIS 2 does effect a business combination with a target company, then any shares I would receive would be subject to a lock-up for the lesser of (i) one year following the close of the business combination, or (ii) beginning 150 days after the close of the business combination, as soon as the share price for the post-combination company closes at a share price greater than or equal to \$12/share (as adjusted for stock splits, stock dividends, reorganizations, recapitalizations and the like for any 20 trading days within a consecutive 30 trading day window. Any warrants I would receive would be subject to lock-up and are not transferable or saleable for a period of 30 days following the close of a business combination with a target company.</p> <p>In the event of no deal, the filer would be entitled to receive his share of any cash remaining, after returning cash to public shareholders, and the payment of expenses, which would be approximately 11% of all such remaining cash.</p> <p>Should I still hold a position at the time of my confirmation, and a deal has occurred, I will divest and/or forfeit any shares or warrants that I retain as of</p>	12/2020

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
5	CRIS Europe I, LLC (European Climate Private LLC) Climate Transitional Capital Acquisition I BV (European Climate SPAC) [collectively CTC]	See Endnote	Amsterdam, Netherlands	<p>and/or forfeit any shares or warrants that I retain as of the date of my departure from the company as soon as reasonably possible but no later than 90 days from the date of my confirmation.</p> <p>Pursuant to the terms of my agreement with the company I currently retain unvested restricted sponsor shares and unvested restricted warrants. These interests remain unvested and restricted because they cannot be traded until after a deal is consummated and the lock-up provisions are satisfied.</p> <p>The Sponsor Shares (CTC's nomenclature for "Founder Shares") are not transferable, assignable or saleable until the earlier to occur of: (A) one year after the completion of the Business Combination or (B) after completion of the Business Combination, if the closing share price of the Ordinary Shares on Euronext Amsterdam equals or exceeds €12.00 per Ordinary Share (as adjusted for stock splits, stock dividends, reorganizations, recapitalizations and the like) for any 20 trading days within any 30-trading day period commencing at least 150 days after the completion of the Business Combination and(y) the Sponsor Warrants are not transferable, assignable or saleable until the thirty (30) days after the completion of the Business Combination.</p> <p>For the avoidance of doubt, any realization of receipt of these warrant or share securities is contingent on CTC effecting a business combination with a target company. Moreover, the same caveats apply as to the possibility of the CTC management team negotiating changes that may result in extending the duration of the lock-ups and / or other similar terms and conditions.</p> <p>Upon my confirmation, I will resign from my positions with CTC. I will divest or forfeit my unvested restricted sponsor shares and unvested restricted warrants in the full amount of my investment or I will forfeit my investment.</p>	6/2021

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
6	EvGo Inc. (successor to CRIS I SPAC)	See Endnote	Los Angeles, California	<p>I currently retain restricted stock units, warrants and class A common shares of EvGo, Inc. The current restricted stock units are subject to a lock-up/ forfeiture provision for a period of five years following the closing (7/1/21) of the business combination between EVgo and its predecessor, until such time that the share price of EVgo trades at a volume-weighted-average share price of \$15 for 20 trading days in any 30 trading days.</p> <p>I will divest my stock, warrants and vested restricted stock units in EvGo, Inc. as soon as practicable, but not later than 90 days after my confirmation. If I am unable to divest my vested restricted stock units, I will forfeit them.</p>	8/2022

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME		CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Climate Transition Capital Acquisition I B.V (European climate SPAC, private LLC)		Amsterdam, Netherlands	Strategic Partner; Non-executive Member of the Board of Directors
2	Heliogen, Inc. (Renewable Energy Company, Solar)		Pasadena, California	Director; Member of Audit Committee; Chairman of the Compensation and Human Capital Committee
3	JERA. Co., Inc. (power generation services)		Tokyo, Japan	Director
4	Saudi Electricity Company		Riyadh, Saudi Arabia	Director
5	EN+ Group PLC		London, United Kingdom	Independent Trustee
6	Source Global, PBC (renewable drinking water technology)		Phoenix, Arizona	Director
7	Savage Companies [Supply chain transport, industrial and environmental services]	See Endnote	Salt Lake City, Utah	Held position as Independent Director with Savage Companies and Independent Director with Savage Enterprises Holdings, LLC.

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	New Material Culture, Inc. d/b/a Material Culture, Inc.	N/A	\$100,001 - \$250,000	Salary	\$47,356
2	IRA #1	No			
2.1	US Bank (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US Bank #1 (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$201 - \$1,000
2	US Brokerage Account #1	No			
2.1	ALTAGAS LTD COM	N/A	\$1,000,001 - \$5,000,000	Dividends	\$50,001 - \$100,000
2.2	BROOKFIELD RENEW PARTNERS LP (BEP)	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
2.3	BROOKFIELD RENEWABLE CORP CL A (BEPC)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
2.4	The Coca-Cola Co. (KO)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.5	Dominion Energy, Inc. (D)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
2.6	Duke Energy Corp. (DUK)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.7	Edison International (EIX)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
2.8	Hyzon Motors Co (HYZN)	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.9	Kinder Morgan, Inc. (KMI)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
2.10	NIKE, Inc. (NKE)	N/A	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
2.11	Pfizer Inc. (PFE)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
2.12	The Southern Co. (SO)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
2.13	TC Energy Corp (TRC)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
2.14	VIATRIS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.15	Global X MLP ETF (MLPA)	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
2.16	Center Coast Brookfield Midstream Focus Fund Class A Shares (CCCAX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
2.17	Lord Abbett Floating Rate Fund Class A Shares (LFRAX)	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
2.18	Lord Abbett Inflation Focused Fund Class A Shares (LIFAX)	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
2.19	US Brokerage Money Market Account (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$201 - \$1,000
3	US Brokerage Account #2	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	ALABAMA ST PUB SCH & COLLEGE AUTH CAP IMPT REV-C CPN: 5.000% Due : 6/1/2023	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.2	ARIZONA ST TRANSN BRD GRANT ANTIC REV-A CPN: 5.000% Due : 7/1/2022	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
3.3	BALDWIN CNTY GA SCH DIST CPN: 4.000% Due : 1/1/2023	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
3.4	BELLAIRE TEX ID 02-01-17 CPN: 5.000% Due : 2/15/2024	N/A	\$500,001 - \$1,000,000	Interest	\$2,501 - \$5,000
3.5	CHARLOTTE N C GENL OBLIG REF-A CPN: 4.000% Due : 6/1/2023	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
3.6	COLORADO ST HIGHER ED LEASE PUR FING PROG CTFS PARTN REV CPN	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
3.7	COLUMBIA MO SPL OBLIG CPN: 5.000% Due : 10/1/2024	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.8	COUNTY SQUARE REDEV CORP S C INSTALLMENT PUR REV CPN: 5.000% Due : 4/1/2025	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.9	DEL VALLE TEX INDPT SCH DIST REF PSF-GTD CPN	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
3.10	DELAWARE CNTY PA REGL WTR QUALITY CTL AUTH SWR REV CPN: 5.000% Due : 5/1/2024	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.11	DICKINSON TEX INDPT SCH DIST SCHOOLHOUSE PSF-GTD SER-A CPN: 5.000% Due : 2/15/2025	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.12	DUBLIN OHIO CITY SCH DIST SCH FAC CONSTR IMPT SER-C CPN	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
3.13	ESSEX CNTY N J IMPT AUTH LEASECAP EQUIP POOLED REV CPN	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.14	GEORGETOWN TEX INDPT SCH DIST PSF-GTD ID 01-15-20 CPN	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.15	GUILFORD CONN GENL OBLIG REF-B CPN	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
3.16	HAMILTON SOUTHEASTERN IND CONSSCH BLDG CORP REV CPN	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.17	HATBORO HORSHAM PA SCH DIST CPN: 4.000% Due : 9/15/2023	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.18	HAWAII ST CPN: 4.000% Due : 1/1/2024	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.19	HOUSTON TEX PUB IMPT GENL OBLIG REF-A CPN: 5.000% Due : 3/1/2024	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
3.20	HOUSTON TEX UTIL SYS COMB UTILSYS FIRST LIEN REV REF-B CPN: 5.000% Due : 11/15/2024	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3.21	KING CNTY WASH SCH DIST NO 412SHORELINE CPN: 5.000% Due : 12/1/2023	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.22	LOWER MORELAND TWP PA SCH DISTSER-B CPN: 5.000% Due : 11/1/2024	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.23	LUFKIN TEX INDPT SCH DIST PSF-GTD ID 03-01-20 CPN: 5.000% Due : 8/15/2023	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.24	MARICOPA CNTY ARIZ UNI SCH DIST NO 69 REF CPN: 5.000% Due : 7/1/2025	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.25	MARYLAND ST DEPT TRANSN CONS TRANSN REV CPN: 5.000% Due : 6/1/2022	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.26	MARYLAND ST TRANSN AUTH FACS PRJS REV CPN: 5.000% Due : 7/1/2023	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
3.27	MC DUFFIE CNTY GA SCH DIST CPN: 5.000% Due : 3/1/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.28	MC KINNEY TEX IMPT GENL OBLIG REF ID 08-01-19 CPN: 5.000% Due : 8/15/2022	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
3.29	MIAMI-DADE CNTY FLA CPN: 5.000% Due : 11/1/2022	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
3.30	MISSISSIPPI ST GENL OBLIG REF-C CPN: 5.000% Due : 10/1/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.31	MONROE N C GENL OBLIG CPN: 5.000% Due : 4/1/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.32	MONTANA ST BRD HSG SINGLE FAMILY MTG CPN: 0.300% Due : 6/1/2024	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
3.33	MOORESTOWN TWP N J IMPT WTR SWR UTIL GENL OBLIG CPN: 5.000% Due : 2/1/2024	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
3.34	NEBRASKA INVT FIN AUTH SINGLE FAMILY HSG REV-A CPN: 1.850% Due : 9/1/2022 Partial Call: 90,000.00	N/A	\$250,001 - \$500,000		None (or less than \$201)
3.35	NEBRASKA ST CTFS PARTN REV-A CPN: 2.000% Due : 4/1/2024	N/A	\$250,001 - \$500,000		None (or less than \$201)
3.36	NEW HAMPSHIRE BK REV REF-A CPN: 5.000% Due : 8/15/2024	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.37	NEW YORK N Y CITY TRANSITIONALFIN AUTH REV-A CPN: 5.000% Due : 11/1/2023	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
3.38	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV-A CPN: 5.000% Due : 3/15/2023	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
3.39	NORTH CAROLINA HSG FIN AGY HOMEOWNERSHIP REV-41 CPN: 1.800% Due : 7/1/2022	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.40	NORTH CAROLINA ST UNIV N C RALEIGH REV-A CPN: 5.000% Due : 10/1/2023	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.41	NORTH THURSTON PUB SCHS WASH REF CPN: 5.000% Due : 12/1/2023	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.42	NOVI MICH CMNTY SCH DIST SER-II CPN: 4.000% Due : 5/1/2023	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.43	OHIO ST CPN: 5.000% Due : 9/15/2023	N/A	\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500
3.44	OHIO ST UNIV GEN RCPTS GREEN REV-A CPN: 5.000% Due : 12/1/2024	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.45	PEARLAND TEX PERM IMPT GENL OBLIG REF ID 12-01-20 CPN: 5.000% Due : 3/1/2023	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
3.46	PLANO TX INDPT SCH DIST REF-A PSF- GTD ID 02-15-16 CPN: 5.000% Due : 2/15/2025	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.47	POTTER CNTY TEX GEL OBLIG CPN: 5.000% Due : 8/1/2022	N/A	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500
3.48	SAN LORENZO VALLEY CALIF UNI SCH DIST SANTA CRUZ CNTY SER-A CPN: 4.000% Due : 8/1/2022	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.49	SNOHOMISH CNTY WASH SCH DIST NO 201 REF CPN: 4.000% Due : 12/1/2023	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
3.50	SOUTH SUBN PK & REC DIST COLO CPN: 5.000% Due : 12/15/2022	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
3.51	SUGAR LAND TEX IMPT GENL OBLIGREF ID 07-01-21 CPN: 5.000% Due : 2/15/2024	N/A	\$500,001 - \$1,000,000	Interest	\$2,501 - \$5,000
3.52	TX A&M UNIV SYS BD REGENTS FINREV-C CPN: 5.000% Due : 5/15/2023	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.53	UNIONVILLE-CHADDS FORD PA SCH DIST CPN: 4.000% Due : 6/1/2022	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.54	UPPER ST CLAIR TWP PA GENL OBLIG CPN: 3.000% Due : 5/15/2025	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
3.55	WACO TEX ID 04-01-19 CPN: 5.000% Due : 2/1/2023	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.56	WILMINGTON N C REV-A CPN: 4.000% Due : 6/1/2023	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.57	WINSTON-SALEM N C WTR & SWR SYS REV CPN: 5.000% Due : 6/1/2024	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.58	WISCONSIN HSG & ECONOMIC DEV AUTH HOME OWNERSHIP REV CPN: 1.950% Due : 9/1/2022	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
3.59	WISCONSIN ST CPN: 5.000% Due : 5/1/2024	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.60	WOOD CNTY WIS GENL OBLIG REF CPN: 3.000% Due : 10/1/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
4	Family Trust #1 [irrevocable]	No			
4.1	Alphabet, Inc. (GOOG)	N/A	\$500,001 - \$1,000,000	Capital Gains	\$5,001 - \$15,000
4.2	Amazon.com, Inc. (AMZN)	N/A	\$500,001 - \$1,000,000	Capital Gains	\$15,001 - \$50,000
5	Inspire Clean Energy	N/A	None (or less than \$1,001)	Capital Gains	\$1,000,001 - \$5,000,000
6	Exxon Mobil Corp. (XOM)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
7	Flexible Premium Variable Life Insurance	No			
7.1	LVIP SSGA Intl Index standard class	Yes	\$100,001 - \$250,000		None (or less than \$201)
7.2	LVIP SSGA Small Cap Index standard class	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3	LVIP JPM Select MC Val MV standard class	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.4	Am Fds Growth-Inc Fund (Class 2)	Yes	\$250,001 - \$500,000		None (or less than \$201)
7.5	Am Fds Intl Fund (Class 2)	Yes	\$100,001 - \$250,000		None (or less than \$201)
7.6	LVIP SSGA S&P 500 Index standard class	Yes	\$250,001 - \$500,000		None (or less than \$201)
8	Tiva Fund LLC (Private company) owns equity in solar field in Hawaii)	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
8.1	Makani Project II (Solar field in Hawaii)	N/A			
9	Powerhouse Ventures Fund II LP (pre-seed climate tech companies- CASH)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10	Powerhouse Ventures Fund I LP (Pre-seed or seed climate tech companies)	No	\$100,001 - \$250,000		None (or less than \$201)
10.1	SparkMeter, Inc. (offers low-cost smart meters for rural microgrids and urban central grid utilities, enabling energy access in underserved markets)	N/A			
10.2	Leapfrog Power, Inc. (distributed energy exchange enables participation in demand response markets via an application programming interface)	N/A			
10.3	Station A (AI-powered clean energy marketplace)	N/A			
10.4	Shyft Power Solutions (energy management platform)	N/A			
10.5	Energetic Insurance (insures against barriers to financing commercial clean energy)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.6	Raptor Maps (systematizing the solar asset lifecycle by building the system of record for the solar industry)	N/A			
10.7	Ensemble Energy (provide predictive operations and management for wind assets)	N/A			
10.8	Wattbuy Inc. (turnkey solution for businesses and brands to become energy retailers)	N/A			
10.9	Terabase Energy Inc. (reduces soft costs in utility-scale solar through a development and deployment platform that tracks and automates project complexities)	N/A			
10.10	Finite Corporation (enables the seamless deployment of capital from both individual and institutional investors into real sustainable infrastructure assets)	N/A			
10.11	Amperon Holdings Inc. (builds real-time operational grid intelligence tools for utilities, energy retailers, grid operators, and institutional traders)	N/A			
10.12	Terrafuse AI (building the climate, weather, and wildfire risk prediction infrastructure)	N/A			
10.13	Overstory.ai (machine learning to interpret satellite imagery and climate data in order to monitor the risk and impact of vegetation on power lines)	N/A			
10.14	Solstice Power Technologies, Inc. (community solar company)	N/A			
10.15	Pearl Street Technologies (power grid advanced modeling, simulation, and optimization tools)	N/A			
10.16	Recurrent Motors Inc. (independent vehicle report for used electric vehicles)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.17	Axiom Cloud (commercial refrigeration)	N/A			
10.18	Nithio (financing platform for off-grid energy access in Africa)	N/A			
10.19	Dollaride (provides the digital infrastructure to gather accurate, timely transportation data)	N/A			
10.20	Audette (digitizes the assessment process for building decarbonization)	N/A			
10.21	AmpUp (software platform for charging stations)	N/A			
10.22	Sust Global (climate data company)	N/A			
10.23	Mobilyze (charging infrastructure financing platform)	N/A			
11	Only Sky Inc Series A-1 Preferred (online platform for the booking of services and experiences provided at ski resorts)	N/A	\$100,001 - \$250,000		None (or less than \$201)
12	GRIDPOINT, INC. Series B (smart building technology creating network of smart, efficient, grid interactive buildings)	N/A	\$50,001 - \$100,000		None (or less than \$201)
13	GRIDPOINT, INC. Series C Convertible Preferred (smart building technology creating network of smart, efficient, grid interactive buildings)	N/A	\$100,001 - \$250,000		None (or less than \$201)
14	Generate Capital, PBC (Generate builds, owns, operates and finances solutions for clean energy, water, waste and transportation)	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
15	Earthshot Ventures Fund I, LP [seed and pre-seed global climate tech companies]	No	\$50,001 - \$100,000		None (or less than \$201)
15.1	Kanin Energy, Inc. (waste heat capture)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.2	KoBold Metals Company (metals company with focus on copper, nickel, lithium and cobalt)	N/A			
15.3	Lilac Solutions (lithium harvesting)	N/A			
15.4	Mitra Future Technologies, Inc. (battery research and development)	N/A			
15.5	Mootral Holdings Limited (natural, proprietary feed additives)	N/A			
15.6	Planet Forward Inc. (staffing and recruitment)	N/A			
15.7	Shimmer Industries, Inc. (smart lighting)	N/A			
15.8	Vibrant Planet, PBC (software tool for forest management)	N/A			
16	US Brokerage Account #3 [UMA]	No			
16.1	Accenture Plc (ACN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2	ADIDAS AG	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.3	ADYEN N V UNSPONSRED ADR	N/A	\$1,001 - \$15,000	Dividends	\$5,001 - \$15,000
16.4	AIA GROUP LTD SPON ADR	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16.5	AIRBUS SE UNSPONSORED ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.6	ALCON INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.7	AMADEUS IT GROUP S.A ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.8	ASML HOLDING NV NY REG NEW	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.9	ATLAS COPCO AS A ADR A NEW	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16.10	ATLISSIAN CORP PLC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.11	BNP PARIBAS SP ADR REPSTG	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16.12	BURBERRY GROUP PLC SPONS ADR	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
16.13	CDN PACIFIC RY LTD NEW	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.14	CELLNEX TELECOM SA UNS ADR	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
16.15	COMPASS GROUP PLC SPD ADR	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.16	CRH PLC ADR	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
16.17	DEUTSCHE BOERSE AG UNSPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.18	DIAGEO PLC SPON ADR NEW	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16.19	EDP ENERGIAS DE PORTGUAL SA	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16.20	ELASTIC N V	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.21	ESSILORLUXOTTICA ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.22	FANUC CORPORATION UNSP ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.23	GIVAUDAN SA ADR	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
16.24	HOYA CORP SPONS ADR	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.25	ICON PLC	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.26	INDUSTRIA DE DISENO TEXTIL IND	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.27	INTESA SANPAOLO S.P.A. ADR	N/A	\$1,001 - \$15,000	Dividends	\$5,001 - \$15,000
16.28	KBC GROUP NV UNSPONS ADR	N/A	\$1,001 - \$15,000	Dividends	\$5,001 - \$15,000
16.29	L OREAL CO ADR	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16.30	LEGRAND SA	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.31	Linde Plc (LIN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16.32	LONDON STK EXCHANGE GROUP ADR	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.33	LVMH MOET HENNESSY LOUIS VUITT	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16.34	MONOTARO CO LTD ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.35	NESTLE SPON ADR REP REG SHR	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.36	NICE LTD ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.37	NIDEC CORP	N/A	\$1,001 - \$15,000	Dividends	\$5,001 - \$15,000
16.38	NOVO NORDISK A/S ADR	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16.39	OCADO GROUP PLC SPONSORED ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.40	OLYMPUS CORPORATION SPONS ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.41	RECRUIT HOLDINGS CO. LTD. ADR	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.42	RENTOKIL GROUP PLC SP ADR	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.43	ROCHE HOLDINGS ADR	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16.44	SCHLUMBERGER LTD	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.45	SHISEIDO LTD SPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.46	SolarEdge Technologies, Inc. (SEDG)	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
16.47	SUNCOR ENERGY INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
16.48	TAIWAN SMCNDCTR MFG CO LTD ADR	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16.49	TE CONNECTIVITY LTD NEW	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16.50	TELEPERFORMANCE SA UNSP ADR	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.51	TEMENOS AG SPONSORED ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.52	THOMSON REUTERS CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
16.53	WORLDLINE SA ADR	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
17	Trust #1 [irrevocable]				
17.1	US Brokerage Account Money Market Account (cash)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.2	APPLE INC	N/A	\$100,001 - \$250,000		None (or less than \$201)
17.3	ABB LTD	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
17.4	ABBVIE INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
17.5	ABBOTT LABORATORIES	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
17.6	ACCENTURE PLC IRELAND CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
17.7	ALBEMARLE CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
17.8	AMAZON COM INC	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
17.9	AON PLC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
17.10	ALIBABA GROUP HLDG LTD	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
17.11	BANK OF AMERICA CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
17.12	BRISTOL MYERS SQUIBB CO	N/A	\$15,001 - \$50,000		None (or less than \$201)
17.13	CHUBB LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
17.14	CANADIAN NATL RAILWAY CO	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
17.15	CISCO SYS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
17.16	CSX CORP	N/A	\$1,001 - \$15,000	Dividends	\$5,001 - \$15,000
17.17	CLEARWAY ENERGY INC CL C	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.18	CLEARWAY ENERGY INC CL A	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
17.19	EMERSON ELECTRIC CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
17.20	ALPHABET INC CL C	N/A	\$15,001 - \$50,000		None (or less than \$201)
17.21	ALPHABET INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
17.22	HONEYWELL INTL INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
17.23	HANCOCK WHITNEY CORPORATION	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
17.24	JOHNSON & JOHNSON	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
17.25	JPMORGAN CHASE & CO	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
17.26	KINDER MORGAN INCORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
17.27	LOCKHEED MARTIN CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
17.28	MC DONALDS CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
17.29	MERCK & CO INC NEW COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
17.30	MICROSOFT CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
17.31	M&T BANK CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
17.32	NORFOLK SOUTHERN CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
17.33	NUCOR CORPORATION	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.34	PFIZER INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
17.35	PROCTER & GAMBLE	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
17.36	PNC FINL SVCS GP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
17.37	QUALCOMM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
17.38	ROYAL DSM NV SPONSORED ADR	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
17.39	SOUTHERN CO	N/A	\$15,001 - \$50,000		None (or less than \$201)
17.40	TENCENT HLDGS LTD UNSPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
17.41	THERMO FISHER SCIENTIFIC	N/A	\$15,001 - \$50,000		None (or less than \$201)
17.42	TAIWAN SMCNDCTR MFG CO LTD ADR	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
17.43	UNITEDHEALTH GP INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
17.44	VOLKSWAGEN AG RP PRF ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
17.45	VESTAS WIND SYSTEMS ADS	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
17.46	WASTE MGMT INC (DELA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
17.47	WALMART INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
17.48	EXXON MOBIL CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
17.49	THE BLACKROCK IN QU MUNI TR FD	Yes	\$1,001 - \$15,000		\$201 - \$1,000
17.50	ISHARES CORE S&P SMALL CAP E	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.51	Nuveen AMT-Free Quality Municipal Income Fund (NEA)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
17.52	PERSHING SQUARE HLDGS LTD	N/A	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000
17.53	INVESCO S&P 500 GARP ETF	Yes	\$50,001 - \$100,000		\$201 - \$1,000
17.54	VANGUARD SMALL CAP ETF	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
17.55	VANGUARD REAL ESTATE ETF	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
17.56	AMERICAN EUROPACIFIC GRW F2	Yes	\$15,001 - \$50,000		\$201 - \$1,000
17.57	BLACKROCK SH OBLIGATIONS INST	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
17.58	DELAWARE VALUE INSTL	Yes	\$15,001 - \$50,000		\$201 - \$1,000
17.59	DIAMOND HILL LARGE CAP I	Yes	\$15,001 - \$50,000		None (or less than \$201)
17.60	AMERICAN GW FD OF AMERICA F2	Yes	\$15,001 - \$50,000		None (or less than \$201)
17.61	HARBOR CAP APPRECIATION INST	Yes	\$15,001 - \$50,000		None (or less than \$201)
17.62	LAZARD EMERGING MKTS EQ I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
17.63	MSIF ULTRA-SHORT INCOME IR	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
17.64	OAKMARK INTERNATIONAL INST	Yes	\$15,001 - \$50,000		\$201 - \$1,000
17.65	T ROWE PRICE MID CAP GR	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.66	VICTORY FLOAT RATE Y	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
17.67	ASCENSION PARISH LA PARISHWIDESCH DIST SER-A	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
17.68	BOSSIER PARISH LA PARISHWIDE SCH DIST	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
17.69	CADDO PARISH LA PARISHWIDE SCHDIST REF	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
17.70	CADDO PARISH LA PARISHWIDE SCHDIST REF	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
17.71	ERNEST N MORIAL-NEW ORLEANS LAEXHIB HAL	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
17.72	JEFFERSON PARISH LA SCH BRD GENL OBLIG REV	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
17.73	LAFAYETTE PARISH LA SCH BRD SALES TAX REV	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
17.74	LAFOURCHE PARISH LA CONS SCH DIST NO 1 PARISH WIDE REF	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
17.75	LIVINGSTON PARISH LA SCH DIST NO 1	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
17.76	LOUISIANA PUB FACS AUTH REV	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
17.77	LOUISIANA PUB FACS AUTH REV REF	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
17.78	LOUISIANA ST bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
17.79	LOUISIANA ST GAS & FUELS TAX REV	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
17.80	LOUISIANA ST GASOLINE AND FUELS TAX REVENUE REF SERIES A-1	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.81	LOUISIANA ST UNCLAIMED PROPERTY SPL REV-A	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
17.82	LOUISIANA STADIUM AND EXPOSITION DISTRICT SENIOR REV REF-A	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
17.83	LOUISIANA STATE GENL OBLIG SER-D-2	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
17.84	MASSACHUSETTS ST SCH BLDG AUTHDEDICATED SALES TAX REV REF-A	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
17.85	NEW ORLEANS LA bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
17.86	OUACHITA PARISH LA WEST OUACHITA PARIS OID	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
17.87	SAN FRANCISCO CA CITY&CNTY ARPTS COMMN INTL ARPT REV REF-B	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
17.88	SHREVEPORT LA GENL OBLIG	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
17.89	ST JOHN BAPTIST PARISH LA bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
17.90	ST MARTIN PARISH LA SCH DIST PARISH WIDE	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
17.91	ST MARTIN PARISH LA SCH DIST PARISH WIDE SCH	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
17.92	UNION PARISH LA CONS SCH DIST NO 1 PARIS	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
17.93	WEST BATON ROUGE PARISH LA SCHDIST NO 3	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
17.94	WEST BATON ROUGE PARISH LA SCHDIST NO 3	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.95	WEST MONROE LA SALES & USE TAXREV	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
18	Trust #2 [irrevocable]	No			
18.1	US Brokerage Account (Cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
18.2	Abbott Laboratories	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.3	Accenture Plc Ireland	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.4	Aflac, Inc.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.5	Air Products and Chemicals, Inc. (APD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.6	American Tower Corp	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.7	Amgen Inc. (AMGN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.8	Analog Devices, Inc. (ADI)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.9	Apple, Inc. (AAPL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.10	Automatic Data Processing, Inc. (ADP)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.11	BECTON DICKINSON & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.12	BlackRock, Inc. (BLK)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.13	CHEVRON CORPORATION	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.14	Chubb Ltd. (CB)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.15	Cisco Systems, Inc. (CSCO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.16	CLOROX COMPANY	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.17	Colgate-Palmolive Co. (CL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.18	COMCAST CORP NEW CL A	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.19	Emerson Electric Co. (EMR)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.20	EVERSOURCE ENERGY	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.21	FactSet Research Systems, Inc. (FDS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.22	General Dynamics Corp. (GD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.23	ILLINOIS TOOL WORKS INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.24	JOHNSON & JOHNSON	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.25	JPMORGAN CHASE & CO	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.26	Linde Plc (LIN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.27	LOWES COMPANIES INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.28	L3Harris Technologies, Inc. (LHX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.29	MCDONALDS CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.30	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.31	MICROSOFT CORP	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.32	NextEra Energy, Inc. (NEE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.33	NIKE INC CLASS B	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.34	Norfolk Southern Corp. (NSC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.35	P PG INDUSTRIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.36	Paychex, Inc. (PAYX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.37	PEPSICO INCORPORATED	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.38	Phillips 66 (PSX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.39	PROCTER & GAMBLE CO	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.40	RAYTHEON TECHNOLOGIES	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.41	Target Corp. (TGT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.42	UNITEDHEALTH GROUP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.43	US BANCORP NEW	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.44	V F CORPORATION (VFC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.45	WALMART INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.46	WEC Energy Group, Inc. (WEC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18.47	3M Co. (MMM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19	Trust #3	No			
19.1	Abbott Laboratories (ABT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.2	AbbVie, Inc. (ABBV)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.3	Amgen Inc. (AMGN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.4	Apple, Inc. (AAPL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.5	AT&T	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6	Bank of America Corp. (BAC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.7	Bristol-Myers Squibb (BMY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.8	CHEVRON CORPORATION	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.9	Chubb Ltd. (CB)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.10	Cisco Systems, Inc. (CSCO)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.11	Emerson Electric Co. (EMR)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.12	GENERAL MILLS INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.13	HONEYWELL INTERNATIONAL	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.14	INTELCORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.15	JOHNSON & JOHNSON	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.16	JPMORGAN CHASE & CO	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.17	Kimberly-Clark Corp. (KMB)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.18	KINDER MORGAN INC DEL	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.19	LEGGETT & PLATT INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.20	Lockheed Martin Corp. (LMT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.21	M & T BANK CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.22	MCDONALDS CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.23	MERCK & CO INC NEW	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.24	Microsoft Corp. (MSFT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.25	Norfolk Southern Corp. (NSC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.26	Nucor Corp. (NUE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.27	PEPSICO INCORPORATED	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.28	PFIZER INCORPORATED	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.29	The PNC Financial Services Group, Inc. (PNC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.30	PPL CORPORATION	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.31	PROCTER & GAMBLE CO	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.32	QUALCOMM, Inc. (QCOM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.33	W.P. Carey, Inc. (WPC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.34	WALMART INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.35	WARNER BROS DISCOVERY INC SER A	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.36	WASTE MGMT INC DEL	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20	US Brokerage Account #4	No			
20.1	GALAXY DIGITAL HLDGS LTD (BRPHF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2	Hyzon Motors Co Warrant (HYZNW) Exp 10/02/2025	N/A	\$1,001 - \$15,000		None (or less than \$201)
21	US Brokerage Account #5	No			
21.1	ROSHARES ULTRA SILVER (AGQ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
21.2	PROSHARES TR II ULSHT BLOOMB OIL (SCO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
21.3	GALAXY DIGITAL HLDGS LTD (BRPHF)	N/A	\$50,001 - \$100,000		None (or less than \$201)
21.4	DOUYU INTERNATIONAL HOLDINGS LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.5	EMBARK TECHNOLOGY INC COM (EMBK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.6	EVGO INC CL A COM (EVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.7	HUYA INC ADS EACH REP 1 ORD SHS CL A (HUYA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.8	Hyzon Motors Co CL A (HYZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21.9	ROBINHOOD MKTS INC COM CL A (HOOD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.10	EMBARK TECHNOLOGY INC WARRANTS EXP 11/10/2026 (EMBKW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.11	Hyzon Motors Co WARRANTS EXP 10/02/2025 (HYZNW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.12	GALAXY DIGITAL HLDGS LTD COM NPV (BRPHF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.13	Hyzon Motors Co CL A (HYZN)	N/A	None (or less than \$1,001)		None (or less than \$201)
22	New Hampshire Fidelity Advisor 529 Plan	No		Cash Payments	\$78,180
22.1	NH College Portfolio (Fidelity Funds)	Yes	\$50,001 - \$100,000		None (or less than \$201)
23	"The Climb" Netflix Entertainment, LLC Inc. (Contract for 18 months with possible extensions) (value not readily ascertainable)				
23.1	Life Rights Option Netflix DC #1 (value not readily ascertainable)	N/A		Initial Payment	\$10,000
23.2	Life Rights Option Netflix DC #2 (value not readily ascertainable)	N/A		Initial Payment	\$10,000
24	Orchard Hill Family LLC [Family Investment Vehicle]	No			
24.1	EVGO Inc. (electric car charging stations) Warrants	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
24.2	EVGO Inc. (electric car charging stations) restricted stock units	See Endnote	\$5,000,001 - \$25,000,000		None (or less than \$201)
24.3	EVGO Inc. (electric car charging stations) class A common shares (founder)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.4	Climate Real Impact Solutions II Acquisition Corp. (SPAC advanced decarbonization solutions for homes office and transportation)(value not readily ascertainable) [see Part 2, line item 13]	No			None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Morgan Stanley	See Endnote	Exercised Line of Credit	\$1,000,001 - \$5,000,000	2020	1.53170%	revolving
2	Powerhouse Ventures Fund I, LP		Capital commitment	\$100,001 - \$250,000	2018	0	on-demand
3	Powerhouse Ventures Fund II, LP		Capital Commitment	\$250,001 - \$500,000	2022	0	on-demand

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	2.2	Subsequent to the submission of his report, the filer received this fee on 4/14/22
2.	2.5	Subsequent to the submission of his report the filer received these fees 4/14/22
2.	2.8	Subsequent to the submission of his report the filer received these fees on 4/14/22
2.	2.11	Subsequent to the submission if his report, the filer received these fees on 4/14/22
2.	10.1	Subsequent to the submission of his report the filer received these fees on 4/14/22.
2.	10.2	Subsequent to the submission of his report the filer received these fees on 4/14/22.
2.	11.1	restricted based upon lock up provisions
2.	13	<p>For the sake of providing a valuation estimate, that a deal is consummated with an average share price value of \$10/share and an average warrant value of \$1.5/warrant in the 10 days leading up to its close, Consortium would receive from Sponsor: Value: See below: \$28.9 M, of which David's claim is \$4.8 M 2,856,776 shares 1,830,172 warrants</p> <p>As of 4/8/22, David had a 16.67% direct claim to this "implied" value (Orchard Hill has another 16.67% claim).</p> <p>Using the 4/8/22 closing share and warrant prices of \$9.81/share and \$0.4849/warrant applied to the aforesaid estimated securities yields a value of:</p> <p>\$28,912,422.96, or \$4,819,700.91 in terms of David's 16.67% claim. This is just an estimate.</p> <p>CLIM.U is the ticker for the "units" of the SPAC, which are comprised of 1 share, and 1/5 of one redeemable warrant. David does not hold any units, directly, indirectly, or otherwise).</p> <p>Ostensibly, the price of CLIM.U should be equal to the price of one share (Ticker: CLIM) and 1/5 of one warrant (Ticker: CLIM.W), but on any given date, there may be a discrepancy because the volume of trading in the shares and warrants may be much higher than in the units (or vice versa) and since reported prices are based on the last transaction, there is no guarantee that there is no discrepancy in light of the relatively thinly-traded units.</p>
3.	2	The annual board meeting took place in July 2022. At that time and subsequent to the submission of my report, I received my annual allotment of Board Member unvested restricted stock units.

PART	#	ENDNOTE
3.	5	The filer and the other partners (investment sponsors) of CTC have a back leveraged agreement with Tiger Investments. Should a business combination occur, Tiger Investments would receive a proportionate number of shares both sponsor shares and warrants from each partner, thus reducing the total number held by the filer at the time of the transaction.
3.	6	Filer retains these same holdings in his family investment vehicle identified as Orchard Hill Family LLC, and the same lock up provisions apply to the restricted stock holdings in that entity. See Part 6, Line items 24.1-.3
4.	7	All income for both position was paid through Savage Companies
6.	24.2	restricted based upon lock up provisions
8.	1	used to purchase large investments, TIVA

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
