U.S. Fish and Wildlife Service

FWS - Migratory Birds

seaduckjv.org

F23AS00018 - Sea Duck Joint Venture FY23 Competitive Grants Fiscal Year: 2023 F23AS00018

Due Date for Applications: 09/30/2022

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A. Program Description

Authority:

This funding opportunity is made under the authority of Fish and Wildlife Act of 1956, as amended. 16 U.S.C. 742a-754.

Assistance Listing Number:

15.637

Background, Purpose and Program Requirements:

The Sea Duck Joint Venture (SDJV) is a conservation partnership under the North American Waterfowl Management Plan (NAWMP). Its mission is to promote the conservation of North American sea ducks by providing greater scientific knowledge and understanding of sea duck biology and ecology to support effective management. The SDJV is composed of Federal and state/provincial wildlife agencies in Canada and the U.S., as well as non-governmental organizations and other entities committed to sea duck conservation. SDJV projects are accomplished through efficient public/private partnerships and cooperative funding.

The SDJV is coordinated and administered by the United States Fish and Wildlife Service (USFWS) and the Canadian Wildlife Service. Primary funding is provided to the SDJV through U.S. Congressional appropriations; some of this funding is made available through competitive grants to solicit partnerships that can address priority science needs of the SDJV. This funding opportunity is made under the authority of Fish and Wildlife Act of 1956; 16 U.S.C. 742.

SDJV funding supports both the USFWS and Department of Interior (DOI) missions, and the DOI Secretary's priorities related to conservation stewardship and protection. One of the purposes of the SDJV is to prevent further listings of sea duck species under the U.S. Endangered Species Act (ESA), as two sea duck populations are already listed as threatened in the U.S., and two are listed as species of concern under the Species at Risk Act (SARA) in Canada. Funded projects contribute sound science about sea duck populations and habitat needs that contribute to monitoring their status and addressing factors that diminish their abundance. Healthy sea duck populations support traditional harvests of sea ducks that are important for subsistence hunters in rural northern communities, and waterfowl hunting opportunities for hunters in the U.S. and Canada, particularly in coastal areas of the Atlantic, Great Lakes, and Pacific regions.

In FY 2023, the SDJV will accept proposals for the following priority research needs stepped down from the broader focal areas identified in the SDJV Strategic Plan (available at http://seaduckjv.org). With this Notice of Funding Opportunity (NOFO), there is greater interest on studies that apply at larger scales than on site-specific studies at non-randomly selected locations. SDJV is also interested in projects that include objectives focusing on engagement of Indigenous communities, outreach and communication, student support, and development of new partnerships.

SDJV considers the following species high priority because of the magnitude of information

needs of each given an assessment of available information and predicted current/future stressors: Common Eider, King Eider, Harlequin Duck, Surf Scoter, Long-tailed Duck, White-winged Scoter, Black Scoter, and Barrow's Goldeneye. Funded projects can focus on other sea duck species if the projects address the following priority research needs, but projects on high priority species are preferred.

SDJV priority research needs for FY 2023 include:

- 1. Information on migratory connectivity and habitat use of sea ducks to improve survey design, harvest management, and development of conservation actions. New studies could target geographic gaps from previous satellite telemetry studies or analyze existing datasets. Priorities include, *but are not limited to*, large-scale projects that provide information on population delineation (Pacific vs. Atlantic) for species where populations overlap, and projects focusing on priority sea duck species, particularly Long-tailed Ducks, western Harlequin Ducks, and King Eiders.
- 2. Improve the quality of data and information on sea ducks by exploring alternative protocols, improved analytical methods, or new technology. Desired products include: (a) A review of current efforts, information and technological gaps, and suggestions for future research and efforts for aerial survey methods. This would require assembling and reviewing the current methods available to automate the counting and speciation of sea ducks in aerial photographs and remotely sensed imagery, and determining the similarities, differences, strength, weaknesses, and most likely paths forward for automated counts of birds. (b) A method to integrate high-definition aerial survey data being collected at offshore wind areas throughout the Atlantic coast with sea duck monitoring priorities. (c) The development of remote tracking techniques including tags, remote sensing, and other tools that have specific applications to our other priorities. (d) The collection and integration of Indigenous Knowledge to inform broad questions about sea duck ecology and management related to SDJV priorities.
- 3. Studies focused on estimating rates of fecundity (e.g., estimating breeding propensity, nesting phenology, clutch size, nest success, and/or fledging success on the breeding areas, or determining fall or winter age and sex ratios to provide an index of annual productivity) and survival (all life stages, and including harvest) of priority sea duck species. Large-scale projects focused on investigating factors that influence these demographic parameters and provide information to inform harvest estimates and population-level management decisions will be prioritized. Projects that test capture methods, occur in new areas, and/or contribute to developing cost-effective, large-scale approaches may also be considered for short-term funding.
- 4. Identify and characterize ecological attributes of habitat used by priority sea duck species to determine critical dependencies and vulnerabilities to anthropogenic effects and climate change. Large-scale studies, and those focused on areas where increased development/human use is anticipated, will be prioritized. Desired products include, *but are not limited to*: (a) estimates of energetic demands or time activity budgets for molting or wintering sea ducks to inform future estimates of landscape carrying capacity; (b) habitat suitability models for priority sea duck species; (c) evaluation and prediction of the effects of climate change on sea ducks, including changes in northern breeding areas and coastal habitats, altered phenology of life history patterns, changes in food resources and predator landscapes, and other conditions that degrade or enhance productivity and

- survival; (d) evaluation of the effects of wind energy and other industrial and agricultural development on sea ducks; and, (e) development and testing of potential methods to reduce negative effects of industrial or agricultural development on sea ducks.
- 5. Improve our understanding of the viewpoints of various stakeholders in sea duck conservation. Desired products include, *but are not limited to*, estimates of the size and composition of fall/winter general hunting and spring/summer subsistence harvest, an assessment of the derivation and distribution of harvest, an assessment of the values and concerns of other stakeholders, including birdwatchers, the waterfowl management community, habitat joint ventures, and other groups, and the collection and integration of Indigenous Knowledge regarding historical and current subsistence use of sea ducks.
- 6. Assess the effect of changing predator communities (e.g., bald eagle, polar bear, mink, fox) on sea duck foraging behavior, breeding success, diurnal and long-term distribution patterns, and the effects of potential distribution shifts on the interpretation of survey data from long-term monitoring studies.

Interested applicants are strongly encouraged to contact the SDJV Coordinators and JV associates in advance of submitting proposals to ensure that they understand the specific nature of the issues and consider advice on previous scientific work. More information on the SDJV's previous work, strategies, and priorities is outlined in plans, reports, and products archived at http://seaduckjv.org/.

B. Federal Award Information

B1. Total Funding

Estimated Total Funding \$300,000

B2. Expected Award Amount

Maximum Award \$300,000 Minimum Award \$5,000

B3. Expected Award Funding and Anticipated Dates

Expected Award Funding

Expected Award Date

January 15, 2023

Most awards in previous years have been in the \$20,000 to \$100,000 range. We anticipate that notification of awards will occur in January 2023. Projects will be considered for multi-year funding up to 5 years, contingent on demonstration of progress and availability of funds. Awards may be made under this Notice to other federal agencies. Applications for renewal or supplementation of existing projects are eligible to compete with applications for new awards.

B4. Number of Awards

Expected Number of Awards

4

B5. Type of Award

Funding Instrument Type

G - Grant

CA - Cooperative Agreement

O - Other

One or more awards may be issued in the form of Cooperative Agreements, Grants, or Inter- and Intra-agency Agreements. A Cooperative Agreement award requires substantial involvement on the part of the USFWS for the successful completion of the project. USFWS involvement may include, but is not limited to, direct participation in the project, reviewing and approving one stage of work before another stage can begin, directing or redirecting the work because of interrelationships with other projects, reserving the right to halt an activity if detailed performance specifications are note met. Actual USFWS substantial involvement will be determined for each project and final tasking will be determined before the official award is executed.

C. Eligibility Information

C1. Eligible Applicants

Eligible Applicants

99 – Unrestricted (i.e. open to any type of entity above), subject to any clarification in the text field entitled "Additional Information on Eligibility"

Additional Information on Eligibility

No restrictions; all potential applicants are eligible including government agencies, educational institutions, Native American tribal organizations, other non-profit organizations and commercial entities. U.S. non-profit, non-governmental organizations **must** provide a copy of their Section 501(c)(3), 501(c)(4) or 501(c)(5) status determination letter received from the Internal Revenue Service. See Section D below for specific application directions for Federal applicants.

C2. Cost Sharing or Matching

Cost Sharing / Matching Requirement

No

Percentage of Cost Sharing / Matching Requirement

Cost sharing or matching, including in-kind costs, is strongly encouraged but is not required. Projects that are to be funded collaboratively with partners and those that most efficiently use SDJV funds will be rated most favorably. Applicants may attribute some or all of their allowable indirect costs as cost-share/match, however recipients may only charge to the Federal award the indirect costs calculated against the allowable direct costs charged to the Federal award. Recipients may not charge to the Federal award indirect costs calculated against: (1) any portion

of the recipient's direct costs; or (2) any portion of the direct costs charged to any other Federal or non-Federal partner.

C3. Other

Foreign Entities or Projects:

State Sponsors of Terrorism: This program will not fund projects in <u>countries determined by</u> the U.S. Department of State to have repeatedly provided support for acts of international <u>terrorism</u> and therefore are subject to sanctions restricting receipt of U.S. foreign assistance and other financial transactions.

Office of Foreign Assets Control Sanctions: This program will not fund projects in countries subject to <u>comprehensive sanction programs administered by the U.S. Department of Treasury, Office of Foreign Asset Control</u> without proper licenses.

In-Country Licenses, Permits, or Approvals: Entities conducting activities outside the U.S. are responsible for coordinating with appropriate U.S. and foreign government authorities as necessary to obtain all required licenses, permits, or approvals before undertaking project activities. The Service does not assume responsibility for recipient compliance with the laws, regulations, policies, or procedures of the foreign country in which they are conducting work.

Excluded Parties:

The DOI conducts a review of the SAM.gov Exclusions database for all applicant entities and their key project personnel prior to award. The DOI cannot award funds to entities or their key project personnel identified in the SAM.gov Exclusions database as ineligible, prohibited/restricted or otherwise excluded from receiving Federal contracts, certain subcontracts, and certain Federal assistance and benefits, as their ineligibility condition applies to this Federal program.

D. Application and Submission Information

D1. Address to Request Application Package

Applicants that wish to receive a paper application should request one from: U.S. Fish and Wildlife Service, Migratory Bird Management, Sea Duck Joint Venture, 1011 E. Tudor Road, Anchorage, Alaska 99503. For information regarding paper submissions, contact the Sea Duck Joint Venture at kate martin@fws.gov.

This NOFO contains everything needed to complete all documentation requirements. Additional details and information can be requested from the program contact identified below. Non-federal applicants need to submit all required documents described below through Grants.gov. Federal applicants need to submit the Project Narrative and budget to the program contacts identified below.

Program Website Link

seaduckjv.org

D2. Content and Form of Application Submission

SF-424, Application for Federal Assistance

All applicants must submit the Standard Form (SF)-424, Application for Federal Assistance. This form is available with the announcement on Grants.gov and in GrantSolutions. The form must be complete and signed by an Authorized Representative. For all applicants except individuals and commercial entities, the Authorized Representative's signature on a standard application form submitted to the Service represents their certification that the entity's financial management system meets 2 CFR §200.302 financial management requirements. The non-Federal entity's financial management system must be sufficient to:

- 1. Permit the preparation of required reports;
- 2. Trace funds to a level of expenditures adequate to establish that the entity has used such funds per Federal statutes, regulations, and terms and conditions of the Federal award;
- 3. Provide for the requirements in 2 CFR §200.302(b); and
- 4. Comply with §200.334 Retention requirements for records, §200.335 Requests for transfer of records, §200.336 Methods for collection, transmission, and storage of information, and §200.337 Access to records.

If this application requests more than \$100,000 in Federal funds, the Authorized Representative's signature on or submission of the SF-424 form in GrantSolutions also represents their certification of the statements in 43 CFR Part 18, Appendix A-Certification Regarding Lobbying.

When completing the SF-424 Application form, enter only the amount requested from this Federal program in Box 18a, Estimated Federal Funding. Include any other Federal sources of funding in Box 18e. Estimated Other Funding and identify any such sources and amounts in the required Budget Narrative (see below). For individuals applying as a private citizen (i.e., unrelated to any business or nonprofit organization you may own or operate in your name), do NOT include your Social Security Number on this or any other document to be submitted with your application! When completing the SF-424 Application form, individuals must enter in Box 8b. Employee/Taxpayer Identification Number (EIN/TIN) the substitute number "444-44-44444". Individuals may register in SAM.gov but are not required to have a SAM.gov registration. For individuals without a SAM.gov registration enter in Box 8c. the substitute Unique Entity Identifier (UEI) "KA5HQCLKUVW1"

SF 424B, Assurances for Non-Construction Programs

Individuals applying for and receiving funds separate from a business or non-profit organization he/she may own or operate, and entities waived from the SAM.gov registration requirements by the funding bureau or office must submit the signed and dated SF-424B, Assurances for Non-Construction Programs form. All required application forms are available with this announcement on Grants.gov and in GrantSolutions. All other financial assistance applicants must complete the "Financial Assistance General Certifications and Representations" as part of their SAM.gov entity record.

Project Abstract Summary (OMB Number 4040-0019)

Applicants must complete and submit the Project Abstract Summary form. The Project Abstract Summary form must provide a brief award description. The description must be in plain language that the public can understand without viewing the full application proposal. It should include a brief, simple description of the project purpose, activities to be performed, deliverables

and expected outcomes, intended beneficiaries, and subrecipient activities, if known at the time of submission.

Do not include personally identifiable, sensitive, or proprietary information in the award description as this is available to the public. Use only English characters, numbers, punctuation, and standard symbols. Use of non-English, non-standard characters (also referred to as special or extended ASCII characters) will result in the award description failing to be reported correctly to USASpending.gov. Award descriptions are limited to 4,000 characters or less. Applicants should check the length of the award description and proofread for proper grammar and spelling.

For applicants applying through Grants.gov: Applicants must download and complete the Grants.gov "Project Abstract Summary" form from the full text announcement. To submit the Grants.gov "Project Abstract Summary" form with the application, applicants must add the form as an attachment to the Grants.gov "Attachments" form that is included in the application package.

For applicants applying through GrantSolutions-Grants Management Module (GS-GMM): Applicants must enter the information in the Project Abstract Summary screen. Do not upload a document in place of entering the information directly into GS-GMM Project Abstract Screen.

Project Narrative

In addition to the required forms described above, applicants must submit a project narrative. The project narrative should <u>be limited to 10 pages</u> not including the budget and follow the format below:

- 1. Project Title
- 2. Names, Affiliations, and Contact Information of Proposers
- 3. Target species and SDJV Priority Research Needs being addressed
- 4. **Project Summary (Abstract):** In less than one page, summarize the need for the project, objectives, approaches to the work and anticipated products and outcomes.
- 5. Project Description
 - a. **Statement of Need:** Describe why this project is necessary (significance/value) and include supporting information. What new information will be generated by the study that you are proposing and how will it address the needs outlined in the Notice of Funding Opportunity? Provide some perspective about how your work will contribute to overall management or conservation of sea ducks or the species and how it advances SDJV priorities. Summarize previous or on-going efforts (of you/your organization, and other organizations or individuals) that are relevant to the proposed work. Explain the successes or failures of past efforts and how your proposed project builds on them. If you have received funding previously (from the Service or any other donor) for this specific project work or site, provide a summary of the funding, associated activities and products/outcomes.
 - b. **Project Goals and Objectives:** State the long-term, overarching goal(s) of the project. Clearly state the objectives of the project. Objectives are the specific outcomes to be accomplished in order to reach the stated goal(s). The project objectives must be specific, measurable, and realistic (attainable within the project's period of performance).

- c. **Project Activities and Methods:** List the proposed project activities and describe how they relate to the stated objectives. Activities are the specific actions to be undertaken to fulfill the project objectives and reach the project goal(s). The description of activities must be detailed enough for reviewers to make a clear connection between the activities and the proposed project costs. Specify sample sizes and provide power analyses if applicable; describe specific statistical treatments intended to support conclusions. Provide a detailed description of the method(s) to be used to carry out each activity. Building on the stated project objectives, which must be specific and measurable, identify what you will measure (i.e., quantitative/quantifiable indicators) and how you will measure (i.e., methods, sample size, survey tools).
- d. **Timetable:** Provide a timetable indicating roughly when activities or project milestones are to be accomplished. Include any resulting tables, spreadsheets or flow charts within the body of the project narrative (do not include as separate attachments). The timetable should not propose specific dates but instead group activities by month for each month over the entire proposed project period.
- e. **Anticipated Output:** List products or data sets expected to be generated.
- f. **Data Management and Availability:** Briefly describe your plan for data management, long-term data storage, and timeline for sharing data and products to the Federal Government for public release. Describe how and when the results of the project will be made available to the management community, scientific community, or other stakeholders.
- g. **Stakeholder Coordination/Involvement:** As applicable, describe how you/your organization has coordinated with and involved other relevant organizations or individuals in planning the project, and detail if/how they will be involved in conducting project activities, disseminating project results and/or incorporating your results/products into their activities.
- h. **Description of Entities Undertaking the Project**: Provide a brief description of the applicant organization, all participating entities and key personnel. Identify which of the proposed activities each agency, organization, group, or individual is responsible for conducting or managing. Provide complete contact information for the individual within the organization that will oversee/manage the project activities on a day-to-day basis.
- i. **Sustainability:** As applicable, describe which project activities will continue beyond the proposed project period, who will continue the work or act on the results, and how and at what level future activities will be funded. If not applicable to your proposal please mark this is Not Applicable.
- j. **Literature Cited:** Provide citations for literature related to the need for the project in relation to previous work, specific methods for data collection and analysis and literature that will be relevant to interpreting the value of findings and products.
- k. **Map of Project Area:** Map should clearly delineate the project area and be large enough to be legible. Label any sites referenced in the project narrative or, if not applicable to your proposal please mark this is <u>Not Applicable</u>.

- 6. **Budget:** The budget should include: (1) a completed SF-424A form (see below for more information), and (2) a Budget Narrative comprised of a detailed budget table describing the funding plan for the entire project and a brief written justification for each of the requested budget items. The budget table should include all major line items for each cost category in the SF-424A, sources of funding for these items (i.e., SDJV or specify the organization providing funds), and project totals by year and funding source. Cost categories (and sub-categories) should include the following, as applicable to the proposed work: Personnel (salary of PI, field technicians, and associated fringe benefits – this should include base salary and estimate of time required), Travel/Accommodations (commercial travel, chartered aircraft, lodging, freight), Equipment/Supplies (transmitters, surgical supplies, camping gear, capture gear, fuel, food, boats/motors), Contractual (veterinary services, ARGOS data acquisition and processing, vehicle/vessel charter, laboratory analyses), and Indirect Cost/Overhead (include whether this indirect cost is required by your organization). In addition to the table, please provide concise written justification for the requested budget items; see additional information related to the Budget Narrative requirements below. The written justification can be embedded in the table or added as an additional paragraph. For an example Budget Narrative and table, contact the Program Contact below or go to https://seaduckjv.org/fundingopportunities/annual-request-for-proposals/.
 - Matching funds: Cost-sharing/matching funds are not required for this funding opportunity; however, the amount of matching funds are considered when scoring proposals and need to be identified in the budget. Include matching contributions ONLY if there is a high likelihood you will indeed receive them. If part of a larger study, include ONLY the costs directly relevant to the study element being considered for funding. Acceptable matching contributions include real purchases as well as in-kind costs (e.g., full time agency staff or tenured professor's salaries, student or technician salaries covered by other sources) provided they are reasonable and commensurate with the particular study element. For example, if the proposal is to add or augment an element to an existing study, you may prorate a portion of the total costs for, say, maintaining a field camp. Requests for salaries of principal investigators, students or technicians are acceptable provided they are reasonable and commensurate with the person's involvement in the particular study element. Indicate the actual time the person will spend on the project (e.g., 4 weeks @ \$800/wk).

SF-424A, Budget Information for Non-Construction Programs

Applicants must complete and submit the SF-424A Budget Information form for Non-Construction Programs or Projects. All required application forms are available with this announcement on Grants.gov or in GrantSolutions. Federal award recipients and subrecipients are subject to Federal award cost principles in Title 2 of the Code of Federal Regulations (CFR) part 200. Applicants must show funds requested from this Federal program separately from any other Federal sources of funding. In "Section A – Budget Summary" on the SF-424A form enter the funding requested from this Federal program in the first row. Identify any other Federal funding sources and amounts in the required Budget Narrative (see below).

Budget Narrative

Applicants must include a budget narrative that describes and justifies requested budget items and costs. In your budget narrative, describe how the SF-424 Budget Information, "Object Class Category" totals were determined. For personnel salary costs, generally describe how estimates were determined by identifying what type of staff will support the project and how much time they will contribute to the project (in hours or workdays). Describe any proposed items of cost that require prior approval under the Federal award cost principles, including any anticipated subawarding, transferring, or contracting out of any work under the award. If equipment previously purchased with Federal funds is available for the project, provide a list of that equipment and identify the Federal funding source. Identify any third-party cash or in-kind contributions that a partner or other entity will contribute to the project and describe how the contributions directly and substantively benefit completion of the project. For in-kind contributions, identify the source, the amount, and the valuation methodology used to determine the total value. See 2 CFR §200.306 for more information.

Conflict of Interest Disclosure

Per the Financial Assistance Interior Regulation (FAIR), <u>2 CFR §1402.112</u>, applicants must state in their application if any actual or potential conflict of interest exists at the time of submission.

- a. Applicability.
 - 1. This section intends to ensure that non-Federal entities and their employees take appropriate steps to avoid conflicts of interest in their responsibilities under or with respect to Federal financial assistance agreements.
 - 2. In the procurement of supplies, equipment, construction, and services by recipients and by sub recipients, the conflict of interest provisions in 2 <a href="https://example.com/creativecom/creati
- b. Notification.
 - 1. Non-Federal entities, including applicants for financial assistance awards, must disclose in writing any conflict of interest to the DOI awarding agency or pass- through entity in accordance with 2 CFR §200.112.
 - 2. Recipients must establish internal controls that include, at a minimum, procedures to identify, disclose, and mitigate or eliminate identified conflicts of interest. The recipient is responsible for notifying the Financial Assistance Officer in writing of any conflicts of interest that may arise during the life of the award, including those that have been reported by sub recipients.
- c. *Restrictions on lobbying*. Non-Federal entities are strictly prohibited from using funds under a grant or cooperative agreement for lobbying activities and must provide the required certifications and disclosures pursuant to <u>43 CFR §18</u> and <u>31 USC §1352</u>.
- d. *Review procedures*. The Financial Assistance Officer will examine each conflict of interest disclosure on the basis of its particular facts and the nature of the proposed grant or cooperative agreement, and will determine whether a significant potential conflict exists and, if it does, develop an appropriate means for resolving it.

Enforcement. Failure to resolve conflicts of interest in a manner that satisfies the government may be cause for termination of the award. Failure to make required disclosures may result in any of the remedies described in <u>2 CFR §200.339</u>, Remedies for noncompliance, including suspension or debarment (see also <u>2 CFR §180</u>).

Uniform Audit Reporting Statement

All U.S. states, local governments, Indian tribes, institutions of higher education, and non-profit organizations expending \$750,000 USD or more in Federal award funds in the applicant's fiscal year must submit a Single Audit report for that year through the Federal Audit Clearinghouse's Internet Data Entry System, in accordance with 2 CFR 200 subpart F. U.S. state, local government, Indian tribes, institutions of higher education, and non-profit applicants must state if your organization was or was not required to submit a Single Audit report for the most recently closed fiscal year. If your organization was required to submit a Single Audit report for the most recently closed fiscal year, provide the EIN associated with that report and state if it is available through the Federal Audit Clearinghouse website.

Certification Regarding Lobbying

Applicants requesting more than \$100,000 in Federal funding must certify to the statements in 43CFR Part 18, Appendix A-Certification Regarding Lobbying. If this application requests more than \$100,000 in Federal funds, the Authorized Official's signature on the appropriate SF-424, Application for Federal Assistance form also represents the entity's certification of the statements in 43 CFR Part 18, Appendix A.

Disclosure of Lobbying Activities

Applicants and recipients must not use any federally appropriated funds (annually appropriated or continuing appropriations) or matching funds under a Federal award to pay any person for lobbying in connection with the award. Lobbying is influencing or attempting to influence an officer or employee of any U.S. agency, a Member of the U.S. Congress, an officer or employee of the U.S. Congress, or an employee of a Member of the U.S. Congress connection with the award. Applicants and recipients must complete and submit the SF-LLL, "Disclosure of Lobbying Activities" form if the Federal share of the proposal or award is more than \$100,000 and the applicant or recipient has made or has agreed to make any payment using non-appropriated funds for lobbying in connection with the application or award. The SF-LLL form is available with this Funding Opportunity on Grants.gov. See 43 CFR, Subpart 18.100 for more information on when additional submission of this form is required.

Overlap or Duplication of Effort Statement

Applicants must provide a statement indicating if there is any overlap between this Federal application and any other Federal application, or funded project, in regard to activities, costs, or time commitment of key personnel. If no such overlap or duplication exists, state, "There are no overlaps or duplication between this application and any of our other Federal applications or funded projects, including in regard to activities, costs, or time commitment of key personnel". If any such overlap exists, provide a complete description of overlaps or duplications between this proposal and any other federally funded project or application in regard to activities, costs, and time commitment of key personnel, as applicable. Provide a copy of any overlapping or duplicative proposal submitted to any other potential funding entity and identify when that proposal was submitted, to whom (entity name and program), and when you anticipate being notified of their funding decision. When overlap exists, your statement must end with "We

understand that if at any time we receive funding from another source that is duplicative of the funding we are requesting from the U.S. Fish and Wildlife Service in this application, we will immediately notify the U.S. Fish and Wildlife Service point of contact identified in this Funding Opportunity in writing."

D3. Unique Entity Identifier and System for Award Management (SAM) Identifier and System for Award Management (SAM.gov) Registration:

This requirement does not apply to individuals applying for funds as an individual (i.e., unrelated to any business or nonprofit organization you may own, operate, or work within), or any entity with an exception to bypass SAM.gov registration with prior approval from the funding bureau or office in accordance with bureau or office policy. All other applicants are required to register as a financial assistance recipient in SAM.gov prior to submitting a Federal award application and obtain a Unique Entity Identifier (UEI) which replaced the Data Universal Numbering System (DUNS) number from Dun & Bradstreet in April 2022. A Federal award may not be made to an applicant that has not completed the SAM.gov registration. If an applicant selected for funding has not completed their SAM.gov registration by the time the program is ready to make an award, the program may determine the applicant is not qualified to receive an award. Federal award recipients must also continue to maintain an active SAM.gov registration with current information through the life of their Federal award(s). Entities already registered in SAM.gov should review their registration to confirm that they are registered as a financial assistance recipient, which requires completion of the SAM.gov "Financial Assistance General Certifications and Representations". See the "Submission Requirements" section of this document below for more information on SAM.gov registration.

Applicants can register on the <u>SAM.gov</u> website. The "Help" tab on the website contains User Guides and other information to assist you with registration. The Grants.gov "<u>Register with SAM</u>" page also provides detailed instructions. Applicants can contact the supporting Federal Service Desk for help registering in SAM. Once registered in SAM, entities must renew and revalidate their SAM registration at least once every 12 months from the date previously registered. Entities are strongly encouraged to revalidate their registration as often as needed to ensure their information is up to date and reflects changes that may have been made to the entity's IRS information. If applicable, foreign entities who want to receive payment directly to a U.S. bank account must enter and maintain valid, current banking information in SAM.

D4. Submission Dates and Times

Due Date for Applications 09/30/2022

Application Due Date Explanation

Electronically submitted applications must be submitted no later than 11:59 PM., ET, on the listed application due date.

It is the applicant's responsibility to ensure confirmation of delivery by any means (e.g., electronic, mail, or personal/courier delivery). If applications are submitted through Grants.gov, the deadline is 9:00PM Eastern Time. If application is sent by email, please request an email

confirmation from Service Project Officer acknowledging receipt of application. Applications received after that date will be considered only under extraordinary circumstances.

D5. Intergovernmental Review

An intergovernmental review may be required for applications submissions from a U.S. state or local government prior to submission. Applicants must contact their State's Single Point of Contact (SPOC) to comply with the state's process under Executive Order 12372. The State Single Point of Contact list is available on the OMB Office of Federal Financial Management website.

D6. Funding Restrictions

Indirect Costs: Individuals

Individuals applying for and receiving funds separate from a business or non-profit organization they may operate are not eligible to charge indirect costs to their award. If you are an individual applying for funding, you must not include any indirect costs in your proposed budget.

Indirect Costs: Organizations

The Federal awarding agency that provides the largest amount of direct funding to your organization is your cognizant agency for indirect costs, unless otherwise assigned by the White House Office of Management and Budget (OMB). If the Department of the Interior (DOI) is your organization's cognizant agency, the Interior Business Center (IBC) will negotiate your indirect cost rate. Contact the IBC by phone 916-930-3803 or using the IBC Email Submission Form. See the IBC Website for more information.

Organizations must have an active Federal award before they can submit an indirect cost rate proposal to their cognizant agency. Failure to establish an approved rate during the award period renders all costs otherwise allocable as indirect costs unallowable under the award. Recipients may not shift unallowable indirect costs to another Federal award unless specifically authorized to do so by legislation.

Required Indirect Cost Statement to be submitted by Organization:

U.S. state or local government entities receiving more than \$35 million in direct Federal funding must include the following statement in their application and attach a copy of their most recently negotiated rate agreement:

• We are a U.S. state or local government entity receiving more than \$35 million in direct Federal funding. We submit our indirect cost rate proposals to our cognizant agency. Our current indirect cost rate is [insert rate]. Attached is a copy of our most recently negotiated rate agreement/certification.

U.S. state or local government entities receiving \$35 million or less in direct Federal funding must include the applicable statement from this list:

• We are a U.S. state or local government entity receiving \$35 million or less in direct Federal funding. We prepare and retain for audit an indirect cost rate proposal and documentation per 2 CFR 200, Appendix VII. Our current indirect cost rate is [insert

- rate], which is charged against [insert a complete description of the direct cost base used to distribute indirect costs to the award].
- We are a U.S. state or local government entity receiving \$35 million or less in direct Federal funding. We have not prepared an indirect cost rate proposal and documentation per 2 CFR \$200, Appendix VII and elect to charge the de minimis rate of 10% of Modified Total Direct Costs as defined in 2 CFR \$200.1. We understand we must use this methodology consistently for all Federal awards until we choose to establish a rate per 2 CFR \$200. We understand we must notify the Service in writing if we establish a rate that changes the methodology used to charge indirect costs during the award period. We understand that additional Federal funds may not be available to support an unexpected increase in indirect costs during the project period and that such changes are subject to review, negotiation, and prior approval by the Service.

All other organizations must include the applicable statement from this list and any related documentation in their application. Please note, an organization with a current negotiated (including provisional) rate may not elect to charge the 10% de minimis rate of Modified Total Direct Costs during the period covered by their current negotiated rate.

- We are an organization with a current negotiated indirect cost rate. In the event we receive an award, we will charge indirect costs per our current negotiated rate agreement. Attached is a copy of our current rate agreement.
- We are an organization with a negotiated indirect cost rate that has expired. Attached is copy of our most recently negotiated rate agreement. If we receive an award, we will submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after the award date. We understand we must provide the Service a copy of our approved rate agreement before charging indirect costs to the Federal award.
- We are an organization that has never negotiated an indirect cost rate with our cognizant agency. Our indirect cost rate is [insert rate], which is charged against [insert a complete description of the direct cost base used to distribute indirect costs to the award]. If we receive an award, we will submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after the award date. We understand we must provide the Service a copy of our approved rate agreement before charging indirect costs to the Federal award.
- We are an organization that does not have a current negotiated (including provisional) rate. In the event an award is made, we elect to charge the de minimis rate of 10% of Modified Total Direct Costs as defined in 2 CFR §200.1. We understand we must use this methodology consistently for all Federal awards until such time as we negotiate a different rate with our cognizant agency. We understand that we must notify the Service in writing if during the award period we establish a rate that changes the methodology used to charge indirect costs to the award. We understand that additional Federal funds may not be available to support an unexpected increase in indirect costs and that such changes are subject to review, negotiation, and prior approval by the Service.
- We are an organization submitting a [insert either "Cooperative Fish and Wildlife Research Unit Program" or "Cooperative Ecosystem Studies Unit Network"] project proposal, which has an indirect cost rate cap of [insert rate; CRU is currently 15%; CESU is currently 17.5%]. In the event we receive an award, we understand that if we have a current negotiated (including provisional) rate we must charge the program's capped

indirect cost rate to the same base identified in our approved indirect cost rate agreement, per 2 CFR §1402.414. If we do not have current negotiated (including provisional) rate, we understand we must charge the capped indirect cost rate against Modified Total Direct Costs (MTDC) as defined in 2 CFR §200.1.

• We are an organization that will charge all costs directly.

D7. Other Submission Requirements

The Service uses the GrantSolutions system to manage financial assistance applications and awards. Applicants must register in and conduct any subsequent award business with the Service in GrantSolutions. To apply, your organization and organization officials must be established in GrantSolutions. To register your organization in GrantSolutions, send an email to help@grantsolutions.gov with the following information:

Subject: New Organization Request

- Organization/Individual Name
- Point of Contact first and last name, email, and phone number
- Organization Type
- SAM.gov Unique Entity Identifier (not required for individuals or Service-waived entities)
- Organization Employer Identification Number (Applicants that are INDIVIDUALS DO NOT include your social security number)
- Address

Non-federal applicants: For non-Federal entities, the Service requests applicants to apply electronically using GrantSolutions as described above.

Federal applicants: Federal applicants need to submit the 10-page Project Proposal (including the program specific SDJV budget) via e-mail to the program contact identified below. Applicants sending materials to the program contacts via email should include an automatic notification of delivery receipt to confirm that they are received.

Program contact (e-mail preferred):

Kate Martin
U.S. Coordinator, Sea Duck Joint Venture
U.S. Fish and Wildlife Service
Migratory Bird Management
1011 E. Tudor Road
Anchorage AK 99503

Anchorage, AK 99503 Phone: (907) 888-2243

Email: kate martin@fws.gov

E. Application Review Information

E1. Criteria

It is important for applicants to review the evaluation criteria that will be used to score proposals received as well as the reasons for rejection or low scores (see below). Additionally, projects with proven methodology and a high likelihood of success will likely score higher than projects with untested methodology and high risk factors (e.g., unsecured funding, unproven technology, dependent on certain weather conditions, etc.). Proposals will be evaluated by the following criteria:

Evaluation categories.

Scoring criteria: Each sub-criterion will be given a score from 0 to 5, where 0 = proposal does not meet the criterion and 5 = proposal meets the criterion fully. Total scores will be scaled to 100, with each of the categories weighted as noted below.

1. Priority and Scope (25% of total score)

- a. The proposal addresses a priority(s) identified in the RFP. Must score \geq 3 by majority of evaluators (\geq 50%) for proposal to advance.
- b. The work will be conducted over a broad geographic scale, includes multiple species, or results can be generalized beyond the species or region being studied.

2. Methods. (25% of total score)

- a. The proposal is clearly written, concise, and provides adequate detail for evaluation.
- b. The objectives are realistically achievable within the timeline proposed.
- c. The methods are appropriate and well designed. This score includes assessment of sample size, if applicable.
- d. The length of the project, or the temporal scale of the dataset being analyzed, is appropriate to achieve the project objectives.
- e. An appropriate location was chosen for data collection, or an appropriate dataset or venue was chosen for analytical or other projects.

3. Opportunity, success, and communication (20% of total score)

- a. The project has a high likelihood of success.
- b. The PIs have a proven track record of successful investigations.

- c. The proposal describes an appropriate approach for managing data and making the data and results available to the management community, scientific community, and other stakeholders.
- d. The study significantly complements previous or ongoing sea duck studies (i.e., there is an added value to the study).
- e. The proposal describes a one-time or unique opportunity.

4. Funding. (20% of total score)

- a. The study is cost effective (i.e., a good return on investment). This score includes assessment of the value of matching funds.
- b. The cost estimates are reasonable given the objectives.

5. Partnerships. (10% of total score)

- a. The study includes local or Indigenous partners or perspectives.
- b. The study involves multiple partners.
- c. The study brings on new partners to the SDJV (i.e., partners that have not received SDJV funding in the past 5 years).

E2. Review and Selection Process

Prior to award, the program will review any applicant statement regarding potential overlap or duplication between the project to be funded and any other funded or proposed project in terms of activities, funding, or time commitment of key personnel. Depending on the circumstances, the program may request modification to the application, other pending applications, or an active award, as needed to eliminate any duplication of effort, or the FWS may choose not to fund the selected project.

The program may not make a Federal award to an applicant that has not completed the SAM.gov registration. If an applicant selected for funding has not completed their SAM.gov registration by the time the Bureau is ready to make an award, the program may determine that the applicant is not qualified to receive an award. The program can use that determination as a basis for making an award to another applicant.

Prior to award, the program will evaluate the risk posed by applicants as required in 2 CFR §200.206. Prior to approving awards for Federal funding in excess of the simplified acquisition threshold (currently \$250,000), the Bureau is required to review and consider any information about or from the applicant found in the Federal Awardee Performance and Integrity Information System. The Bureau will consider this information when completing the risk review. The Bureau uses the results of the risk evaluation to establish monitoring plans, recipient reporting frequency requirements, and to determine if one or more of the specific award conditions in 2 CFR §200.208 should be applied to the award.

Applications will be reviewed and numerically scored by up to 16 members of the SDJV Continental Technical Team. Applications will be scored based on announced criteria. The Continental Technical Team will make recommendations for project funding to the SDJV Management Board, which will make final decisions on funding. Application selection will be based upon scores, available funding, and best overall value to the Service and Sea Duck Joint

Venture program. Proposals for multi-year funding will be considered but funding in out-years will, in most cases, be conditional on acceptable performance and availability of future funds and federal appropriations. Prior to participating in any review or evaluation process, all reviewers on the SDJV Continental Technical Team must complete the applicable Conflict of Interest form.

E3. CFR - Regulatory Information

See the <u>Service's General Award Terms and Conditions</u> for the general administrative and national policy requirements applicable to Service awards. The Service will communicate any other program- or project-specific special terms and conditions to recipients in their notices of award.

E4. Anticipated Announcement and Federal Award Dates

F. Federal Award Administration Information

F1. Federal Award Notices

Successful applicants will receive written notice in the form of a notice of award. Notices of award are typically sent to recipients by e-mail. Award recipients are not required to sign/return the Notice of Award document. Acceptance of an award is defined as starting work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by, the Service. The notice of award document will include instructions specific to each recipient on how to request payment. If applicable, the instructions will detail any additional information/forms required and where to submit payment requests.

F2. Administrative and National Policy Requirements

See the <u>DOI Standard Terms and Conditions</u> for the administrative and national policy requirements applicable to DOI awards.

See the <u>Service's General Award Terms and Conditions</u> for the general administrative and national policy requirements applicable to Service awards.

Buy America Provision for Infrastructure: Required Use of American Iron, Steel, Manufactured Products, and Construction Materials.

As required by Section 70914 of the Infrastructure Investment and Jobs Act (Pub. L. 117-58), on or after May 14, 2022, none of the funds under a federal award that are part of a Federal financial assistance program for infrastructure may be obligated for a project unless all the iron, steel, manufactured products, and construction materials used in the project are produced in the United States, unless subject to an approved waiver. Recipients conducting infrastructure projects under the award must include related requirements all subawards, including all contracts and purchase orders for infrastructure work or products under this program. For the full text term applicable to

infrastructure and related waiver request standards and procedures, see the Service's General Award Terms and Conditions.

Data Availability

Per the Financial Assistance Interior Regulation (FAIR), 2 CFR §1402.315:

- a. All data, methodology, factual inputs, models, analyses, technical information, reports, conclusions, valuation products or other scientific assessments in any medium or form, including textual, numerical, graphic, cartographic, narrative, or audiovisual, resulting from a financial assistance agreement is available for use by the Department of the Interior, including being available in a manner that is sufficient for independent verification.
- b. The Federal Government has the right to:
 - 1. Obtain, reproduce, publish, or otherwise use the data, methodology, factual inputs, models, analyses, technical information, reports, conclusions, or other scientific assessments, produced under a Federal award; and
 - 2. Authorize others to receive, reproduce, publish, or otherwise use such data, methodology, factual inputs, models, analyses, technical information, reports, conclusions, or other scientific assessments, for Federal purposes, including to allow for meaningful third-party evaluation.

F3. Reporting

Financial Reports

All recipients must use the SF-425, Federal Financial Report form for financial reporting. At a minimum, all recipients must submit a **final** financial report. Final reports are due no later than 120 calendar days after the award period of performance end date or termination date. For awards with periods of performance longer than 12 months, recipients are required to submit **interim** financial reports on the frequency established in the Notice of Award. The only exception to the interim financial reporting requirement is if the recipient is required to use the SF 270/271 to request payment and requests payment at least once annually through the entire award period of performance. We will describe all financial reporting requirements in the Notice of Award

Non-Construction Performance Reports

Performance reports must contain a comparison of actual accomplishments with the established goals and objectives of the award; a description of reasons why established goals was not met, if appropriate; and any other pertinent information relevant to the project results. **Final** reports are due no later than 120 calendar days after the award period of performance end date or termination date. For awards with periods of performance longer than 12 months, recipients are required to submit **interim performance**reports on the frequency established in the Notice of Award.

Construction Performance Reports

For construction awards, onsite technical inspections and certified percentage of completion data may be relied on to monitor progress for construction. Additional performance reports for construction activities may be required only when considered necessary. However, awards that include both construction and non-construction activities require performance reporting for the

non-construction activities. See <u>2 CFR§200.329</u> for more information. The USFWS will describe all performance reporting requirements in the Notice of Award.

Significant Development Reports

Events may occur between the scheduled performance reporting dates which have significant impact upon the supported activity. In such cases, recipients are required to notify the Bureau in writing as soon as the recipient becomes aware of any problems, delays, or adverse conditions that will materially impair the ability to meet the objective of the Federal award. This disclosure must include a statement of any corrective action(s) taken or contemplated, and any assistance needed to resolve the situation. The recipient should also notify the Service in writing of any favorable developments that enable meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

Real Property Reports

Recipients and subrecipients are required to submit status reports on the status of real property acquired under the award in which the Federal government retains an interest. The required frequency of these reports will depend on the anticipated length of the Federal interest period. The Bureau will include recipient-specific real property reporting requirements, including the required standard form or data elements, reporting frequency, and report due dates, in the Notice of Award when applicable.

Conflict of Interest Disclosures

Per 2 CFR §1402.112, non-Federal entities and their employees must take appropriate steps to avoid conflicts of interest in their responsibilities under or with respect to Federal financial assistance agreements. In the procurement of supplies, equipment, construction, and services by recipients and by subrecipients, the provisions in 2 CFR §200.318 apply. Non-Federal entities, including applicants for financial assistance awards, must disclose in writing any conflict of interest to the DOI awarding agency or pass-through entity in accordance with 2 CFR §200.112. Recipients must establish internal controls that include, at a minimum, procedures to identify, disclose, and mitigate or eliminate identified conflicts of interest. The recipient is responsible for notifying the Service Project Officer identified in their notice of award in writing of any conflicts of interest that may arise during the life of the award, including those that reported by subrecipients. The Service will examine each disclosure to determine whether a significant potential conflict exists and, if it does, work with the applicant or recipient to develop an appropriate resolution. Failure to resolve conflicts of interest in a manner that satisfies the government may be cause for termination of the award.

Other Mandatory Disclosures

The Non-Federal entity or applicant for a Federal award must disclose, in a timely manner, in writing to the Federal awarding agency or pass-through entity all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the Federal award. Non- Federal entities that receive a Federal award including the terms and conditions outlined in 2 CFR 200, Appendix XII—Award Term and Condition for Recipient Integrity and Performance Matters are required to report certain civil, criminal, or administrative proceedings to SAM. Failure to make required disclosures can result in any of the remedies for noncompliance described in 2 CFR §200.339, including suspension or debarment.

Reporting Matters Related to Recipient Integrity and Performance

If the total value of your currently active grants, cooperative agreements, and procurement contracts from all Federal awarding agencies exceeds \$10,000,000 for any period of time during the period of performance of this Federal award, then you as the recipient during that period of time must maintain the currency of information reported to the System for Award Management that is made available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System) about civil, criminal, or administrative proceedings in accordance with Appendix XII to 2 CFR 200.

G. Federal Awarding Agency Contact(s)

G1. Program Technical Contact

For programmatic technical assistance, contact:

First and Last Name:

Kate Martin, U.S. Coordinator, Sea Duck Joint Venture

Telephone:

(907) 888-2243

Email:

kate martin@fws.gov

G2. Program Administration

For program administration assistance, contact:

First and Last Name:

Kate Martin, U.S. Coordinator, Sea Duck Joint Venture

Telephone:

(907) 888-2243

Email:

kate martin@fws.gov

G3. Application System Technical Support

For Grants.gov technical registration and submission, downloading forms and application packages, contact:

Grants.gov Customer Support

Numeric Input Field: 1-800-518-4726

Support@grants.gov

For GrantSolutions technical registration, submission, and other assistance contact:

GrantSolutions Customer Support

1-866-577-0771

Help@grantsolutions.gov

H. Other Information

Payments

Domestic recipients are required to register in and receive payment through the U.S. Treasury's Automated Standard Application for Payments (ASAP), unless approved for a waiver by the Service program. Foreign recipients receiving funds to a final destination bank outside the U.S. are required to receive payment through the U.S. Treasury's International Treasury Services (ITS) System. Foreign recipients receiving funds to a final destination bank in the U.S. are required to enter and maintain current banking details in their SAM.gov entity profile and receive payment through the Automated Clearing House network by electronic funds transfer (EFT). The Bureau will include recipient-specific instructions on how to request payment, including identification of any additional information required and where to submit payment requests, as applicable, in all Notices of Award.

PAPERWORK REDUCTION ACT STATEMENT:

OMB Control Number: 1018-0100

Per the Paperwork Reduction Act of 1995 (PRA; 44 U.S.C. 3501 et seq.), the U.S. Fish and Wildlife Service (Service) collects information in accordance with program authorizing legislation to conduct a review and select projects for funding and, if awarded, to evaluate performance. Your response is required to obtain or retain a benefit. We may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Privacy Act Statement: This information collection is authorized by 5 U.S.C. 5701 et seq. The information provided will be used to administer all Service financial assistance programs and activities including to: (1) determine eligibility under the authorizing legislation and applicable program regulations; (2) determine allowability of major cost items under the Cost Principles at 2 CFR 200; (3) select those projects that will provide the highest return on the Federal investment; and (4) assist in compliance with laws, as applicable, such as the National Environmental Policy Act, the National Historic Preservation Act, and the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. This information may be shared in accordance with the Privacy Act of 1974 and the routine uses listed in INTERIOR/DOI-89, Grants and Cooperative Agreements: FBMS - 73 FR 43775 (July 28, 2008). Furnishing this information is voluntary; however, failure to provide all requested information may prevent the Service from awarding funds.

Estimated Burden Statement: We estimate that it will take you on average about 40 hours to complete an initial application, about 3 hours to revise the terms of an award, and about 8 hours per report to prepare and submit financial and performance reports, including time to maintain records and gather information. Actual times for these activities will vary depending on program-specific requirements. Direct comments regarding the burden estimates or any other aspect of the specific forms to the Service Information Clearance Officer, USFWS, U.S. Department of the Interior, 5275 Leesburg Pike, MS: PRB (JAO/3W), Falls Church, VA 22041-3803, or by email to Info Coll@fws.gov.