**Department of State Embassy of the United States in New Delhi, India**

**Notice of Funding Opportunity (NOFO)**

**Program Office:** Public Diplomacy Section, New Delhi

**Funding Opportunity Title:** Empowering LGBTQI+ Community Leadership across India

**Announcement Type:**  Cooperative Agreement

**Funding Opportunity Number:** ND-NOFO-24-106

**Deadline for Applications:** June 7, 2024 [11:59 pm midnight Washington DC]

**Assistance Listing Number:** 19.040

**Total Amount Available: $**150,000

**CONTACT INFORMATION**

* For questions relating to Grants.gov, please call the Grants.gov Contact Center at 1-800-518-4726.
* For assistance with the requirements of this solicitation, Contact  ND\_GrantApplications@state.gov
* To inquire about the process for obtaining a Negotiated Indirect Cost Rate Agreement (NICRA) contact Donald Hunter at [HunterDS@state.gov](https://travel.state.gov/content/passports/en/country/pakistan.html).

In order to be eligible to receive an award under this NOFO, organizations must have a Unique Entity Identifier (UEI) number issued via [www.SAM.gov](http://www.SAM.gov) as well as a valid registration on www.SAM.gov. **Please begin the registration processes immediately to ensure the registrations are completed well in advance of the submission deadline**. The process can require several **weeks** for the registrations to be validated and confirmed. See *Section D: Submission Requirements* for further details.

Due to the volume of applicants and inquiries, Public Diplomacy Section (PDS) **does not** accept letters of intent, concept papers, or requests for meetings or phone calls prior to application.

**It is the responsibility of the applicant to ensure that the application package has been received in its entirety. Incomplete applications will be considered ineligible.** Applicants are urged to begin the application process well before the submission deadline. No exceptions will be made for organizations that have not completed the necessary steps.

**A. PROGRAM DESCRIPTION**

**Executive Summary:**

The Embassy of the United States of America, New Delhi,announces an open competition to implement a program to promote equal access for LGBTQI+ communities by strengthening leadership capacity. This program is built upon the foundation of promoting inclusivity, equality, and accessibility of government services for the trans and intersex communities, recognizing their unique challenges in accessing education, healthcare, employment, and legal recourse against discrimination. Through a cascading 'train the trainer' model, this initiative aims to empower leaders within these communities to disseminate critical information and skills, creating a ripple effect of increased community engagement and awareness that will benefit India and the United States through improved health, education, economic strength, justice, and equality.

**Background:**

Members of the LGBTQI+ community in India face discrimination, harassment, and challenges in accessing healthcare, employment, and other basic services like food stamps and ID card acquisition. Adequately trained LGBTQI+ community leaders, particularly in Tier 2 cities, could address this gap by effectively educating, mobilizing, and supporting their local community members. Skilled community leadership can provide advocacy and representation, ensure awareness of available government services and other resources, and build and strengthen support networks.

The trans and intersex community often face more severe discrimination and social stigma compared to other groups within the LGBTQI+ spectrum. By focusing on these communities, the program directly targets one of the most vulnerable and marginalized groups, providing tailored support where it is most needed. This can lead to greater awareness and understanding among the general population, challenging stereotypes and reducing stigma. The program can deliver specialized legal education and support, empowering them to advocate for their rights and access the services they need.

The primary goal of this call for proposals is to identify and support organizations capable of developing and implementing a comprehensive training program for trans and intersex community leaders in India. The program will focus on enhancing these leaders' understanding of government services, legal rights, and advocacy strategies to combat discrimination and promote inclusivity within their communities. The ultimate intent is to foster a self-sustaining model of education and empowerment that can effectively address the unique needs of the trans and intersex populations across India.

**Project Audience(s):** The direct beneficiaries of this program will be LGBTQI+ community leaders, with emphasis on trans and intersex community leaders who are actively engaged in advocacy, support, and community mobilization efforts. The secondary audience includes the wider trans and intersex communities who will benefit from the increased availability of trained leaders capable of providing guidance, support, and education on accessing government services and rights.

The program will aim for equitable representation from across India, prioritizing Tier 2 and Tier 3 cities, considering the diversity within the trans and intersex communities in terms of age, socio-economic background, and areas of expertise.

To ensure transparency, accountability, and inclusion, the selection of candidates will be done in collaboration with the USG representatives.

**Project Goal**:

To promote the democratic value of equal access for all peoples, including minorities and marginalized groups, this project aims to build the capacity of trans and intersex community leaders in India, enabling them to effectively access, utilize, and advocate for government services and rights. Utilizing a peer education model, the project will create a sustainable model that empowers the trans and intersex communities from within, fostering resilience, awareness, and inclusivity.

**Project Objectives:**

Objective 1: Train at least 200 LGBTQI+ community leaders, at least 40 in each consular district (Chennai, Hyderabad, Kolkata, Mumbai, and New Delhi), with preference given to trans and intersex community leaders, with leadership, professional development, and organizational management skills and specific information on promoting access to government services, including healthcare, education, legal identity documents, and recourse against discrimination practices.

Objective 2: Develop comprehensive training materials, for free distribution in the public domain, tailored to the needs of LGBTQI+ communities, with emphasis on trans and intersex communities, including guides on navigating government services and legal rights. To ensure wide accessibility, all materials will be translated into the major languages spoken in the consular districts (Hindi, Tamil, Telugu, Bengali, and Marathi.),

Objective 3: Establish a peer-to-peer training network where trained leaders can educate and empower their community members, reaching at least 2,000 additional individuals within the first year.

Objective 4: Monitor and evaluate the program's impact, with specific metrics for knowledge increase, access to services, and community empowerment, adjusting strategies as needed to ensure effectiveness and reach.

**B. FEDERAL AWARD INFORMATION**

Length of performance period: *12 months from the date of implementation*

Number of awards anticipated: *one* award.

Award amounts: awards may range from a minimum of *$120,000* to a maximum of *$150,000.*

Total available funding: *$150,000*

Award Ceiling: *$150,000*

Award Floor: *$120,000*

Type of Funding: FY24 Smith Mundt Public Diplomacy Funds

Anticipated program start date: *August 20, 2024*

**This notice is subject to availability of funding.**

**Funding Instrument Type:  Cooperative Agreement**

**Program Performance Period**: Proposed programs should be completed in *12 months* or less.

The Department of State will entertain applications for continuation grants funded under these awards beyond the initial budget period on a non-competitive basis subject to availability of funds, satisfactory progress of the program, and a determination that continued funding would be in the best interest of the U.S. Department of State.

**Support for Diversity, Equity, Inclusion, and Accessibility (DEIA):** All programs should consider strategies for expanding the pool of individuals/organizations/beneficiaries to afford opportunities for as diverse and inclusive population as is feasible to bring diverse perspectives based on religion, sex, disability, race, ethnicity, sexual orientation, gender identity, gender expression, sex characteristics, national origin, and age to implementation of the program.

The Public Diplomacy Section reserves the right to award less or more than the funds described under circumstances deemed to be in the best interest of the U.S. government, pending the availability of funds and approval of the designated grants officer.

**C. ELIGIBILTY INFORMATION**

1. Eligible Applicants

The following organizations are eligible to apply:

* *Not-for-profit organizations*
* *Civil society/non-governmental organizations*
* *Think tanks*
* *Public and private educational institutions*
* *Individuals*
* *Public International Organizations and Governmental institutions*

Applicants are only allowed to submit one proposal per organization. If more than one proposal is submitted from an organization, all proposals from that institution will be considered ineligible for funding.

1. Cost Sharing or Matching

Cost sharing or matching is not required for this funding opportunity.

1. Other Eligibility Requirements

In order to be eligible to receive an award, all organizations must have a Unique Entity Identifier (UEI) number issued via [www.SAM.gov](http://www.SAM.gov) as well as a valid registration on www.SAM.gov. Please see Section D for more information. Individuals are not required to have a UEI or be registered in SAM.gov.

1. This opportunity will not support:
	* Projects relating to partisan political activity;
	* Charitable or development activities;
	* Construction projects;
	* Projects that support specific religious activities;
	* Fund-raising campaigns;
	* Lobbying for specific legislation or programs
	* Scientific research or surveys;
	* Commercial projects;
	* Projects intended primarily for the growth or institutional development of the organization;
	* Projects that duplicate existing projects; or
	* Illegal activities

**D. APPLICATION AND SUBMISSION INFORMATION**

1. Address to Request Application Package

Application forms required below are available at [www.grants.gov](http://www.grants.gov)

2. Content and Form of Application Submission

Please follow all instructions below carefully. Proposals that do not meet the requirements of this announcement or fail to comply with the stated requirements will be ineligible.

Applicants must ensure:

* Proposal clearly addresses the goals, audiences, and objectives of this notice.
* All documents are in English.
* All budgets are in U.S. dollars. Use USD 1 = INR 82 for conversions, where required
* All pages are numbered.
* All documents are formatted to 8 ½ x 11 paper.
* All Microsoft Word documents are single-spaced, 12-point Times New Roman font, with a minimum of 1-inch margins.
* All applicant authorized signatures are provided where indicated on the various, required forms.

Special Characters in Submissions: Grants.gov does not accept all UTF-8 special characters in file attachment names. Applicants are able to enter all special characters from the UTF-8 character set when submitting applications and information to Grants.gov. However, if the grantor's system is not yet compatible with these special characters, the grantor system may produce garbled or missing text in the application. As a result, the application may be rejected.

The following documents and components are **required and must be included in the applicant’s submission**:

**Section 1. Mandatory application forms**

* SF-424 *(Application for Federal Assistance – organizations)* or SF-424-I *(Application for Federal Assistance --individuals)*
* SF-424A *(Budget Information for Non-Construction programs)*
* SF-424B *(Assurances for Non-Construction programs) (note: the SF-424B is only required for individuals and for organizations not registered in SAM.gov)*

All submissions must include the SF-424 Application for Federal Assistance and the SF-424A Budget Information—Non-Construction. These forms and the instructions for completing them are available at [http://www.grants.gov/web/grants/forms.html](https://gcc02.safelinks.protection.outlook.com/) under the heading “SF-424 Family.” NOTE: The SF-424B is required only for those applicants who have not registered in SAM.gov. An authorized signature by the applicant must be provided on this form.

**Section 2. Technical Proposal (*10* pages maximum):**The proposal should contain sufficient information that anyone not familiar with it would understand exactly what the applicant wants to do. You may use your own proposal format, but it must include all the items below.

* **Proposal Summary:** Short narrative that outlines the proposed program, including program objectives and anticipated impact.
* **Organizational Description and Capacity**: A description of past and present operations, showing ability to carry out the program, including information on all previous grants from the U.S. Embassy and/or U.S. government agencies as well as experience with and expertise in areas related to those described in the NOFO. You may use the attached Applicant Organization Information Survey form to provide this information (Attachment 5).
* **Program Methods, Design, Activities, and Deliverables**: A description of how the program is expected to work to solve the stated problem and achieve the goal. Describe the program activities and how they will help achieve the objectives.
* **Proposed Program Schedule and Timeline:** The proposed timeline for the program activities. Include the dates, times, and locations of planned activities and events.
* **Key Personnel:**Names, titles, roles and experience/qualifications of key personnel involved in the program. Include the proportion of their time that will be used in support of this program.
* **Program Partners:** List the names and type of involvement of key partner organizations and sub-awardees, if applicable.
* **Future Funding or Sustainability** Applicant’s plan for continuing the program beyond the grant period, or the availability of other resources, if applicable.
* **Support of Equity and Underserved Communities**: A description of how the applicant plans on incorporating principles of Diversity, Equity, Inclusion, and Accessibility (DEIA) and engaging underserved communities in their program’s administration, design, and implementation. For more information, please reference section F. Federal Award Administration Information.

## **Monitoring & Evaluation:** Proposals must include a draft Monitoring and Evaluation (M&E) Performance Monitoring Plan (PMP). The M&E PMP should show how applicants intend to measure and demonstrate progress towards the project’s objectives and goals. Attachment 3 of this funding opportunity contains a template that may be used to fulfill this requirement. While the grantee is free to create their own template, completing Attachment 3 will ensure a thorough PMP.

The key components to the PMP are as follows:

1. **Monitoring and Evaluation Narrative:** In narrative form, applicants should describe how they intend to monitor and evaluate the activities of their award and collect data that tracks award performance. In addition, the applicant should describe any M&E processes, including key personnel, management structure (where M&E fits into the overall program’s staff structure), technology, and as well provide a brief budget narrative explaining any line-item expenditures for M&E listed in the program’s budget. Submission should demonstrate an ability to adjust project activities based on new information. If the proposal is from a prior grantee, the proposal discusses how the grantee has adapted, improved or otherwise modified their approach based on learning from previous experience. This narrative is limited to two pages.
2. **Theory of Change Diagram:** Applicants will be expected to submit either a Theory of Change diagram or an If-Then Statement that illustrates how project activities will lead to intended outcomes. Attachment 3 includes a suggested format for these requirements.
3. **Monitoring and Evaluation Datasheet:** The applicant must include their proposed activities and their expected outputs and outcomes as well as the goals and objectives as written in the NOFO. The datasheet’s purpose is to explicitly illustrate how a project’s activities lead to tangible results (such as increased beneficiary skills, knowledge, or attitudes) that ultimately address a PDS objective. For more information, please see Attachment 3.1: Instructions.

The selected applicant’s M&E PMP is subject to review and approval before any award will be issued under this NOFO. The selected applicant will be required to work with PDS Monitoring and Evaluation Specialist to ensure the applicant’s M&E PMP achieves an expected level of expertise and meets PDS objectives.

Expenses directly associated with monitoring and evaluation are considered allowable. The suggested template includes a space to list the portion of the total budget amount directly associated with monitoring and evaluation activities.

**Section 3. — Detailed Budget and Budget Narrative:**

* Detailed Budget - Applicants must submit a detailed line-item budget. Applicants are encouraged to utilize the template provided with the funding opportunity but are not required to do so (Attachment 4). Line-item expenditures should be listed in the greatest possible detail. The budget must identify the total amount of funding requested, with a breakdown of amounts to be spent in the following budget categories: personnel; fringe benefits; travel; equipment; supplies; consultants/contracts; other direct costs; and indirect costs. See Annex Section I for a description of the types of costs that should be included in each category. Personnel salaries should include the level of effort and the rate of pay, which should cover the percentage of time each staff member will dedicate to grant-based activities. If an organization is charging an indirect cost rate without a NICRA, it must apply it to the modified total budget costs (MTDC), refer to 2CFR§200.68. **Budgets shall be submitted in U.S. dollars** and final grant agreements will be conducted in U.S. dollars.
* Budget Justification Narrative – Applicants must submit a budget justification narrative to accompany the detailed line-item budget. The purpose of the budget justification narrative is to supplement the information provided in the detailed budget spreadsheet by justifying how the budget cost elements are necessary to implement project objectives and accomplish the project goals. The budget justification narrative is a tool to help PDS staff fully understand the budgetary needs of the applicant and is an opportunity to provide descriptive information about the requested costs beyond the constraints of the budget template. Together, the detailed budget spreadsheet, the budget justification narrative, and the SF-424A should provide a complete financial and qualitative description that supports the proposed project plan and should be directly relatable to the specific project components described in the applicant’s proposal.

Additional Budget Notes:

* + Audit Requirements:Please note the audit requirements for Department of State awards in the Standard Terms and Conditions [https://www.state.gov/m/a/ope/index.htm and 2CFR200](https://www.state.gov/m/a/ope/index.htm%20and%202CFR200), Subpart F – Audit Requirements. The cost of the required audits may be charged either as an allowable direct cost to the award OR included in the organization’s established indirect costs in the award’s detailed budget.
	+ Visa Fees: Include all visa application and related fees in your budget as applicable. Please note DS-2019s for post-funded programs must be submitted directly by the award recipient. If you anticipate your program will include the DS-2019 visa processing, your organization must be a registered Designated Sponsoring Organization. For more information go to: [https://j1visa.state.gov/sponsors/become-a-sponsor/](https://www.ecfr.gov/cgi-bin/text-idx)

**Section 4. - Required Attachments:**

1. **Key Personnel Resumes**: A résumé, not to exceed one page in length, must be included for the proposed key staff persons, such as the Project Director and Finance Officer, as well as any speakers or trainers (if applicable). If an individual for this type of position has not been identified, the applicant may submit a 1-page position description, identifying the qualifications and skills required for that position, in lieu of a résumé.
2. **Letters of support from program partners:** Letters of support should be included for sub-recipients or other partners. The letters must identify the type of relationship to be entered into (formal or informal), the roles and responsibilities of each partner in relation to the proposed project activities, and the expected result of the partnership. The individual letters cannot exceed 1 page in length.
3. **Indirect Costs**: If your organization has a Negotiated Indirect Cost Rate Agreement (NICRA) and includes NICRA charges in the budget, your latest NICRA should be included in the application submission.
4. **Proof of Non-profit Status:** Documentation to demonstrate the applicant’s non-profit status (e.g., U.S.-based organizations should submit a copy of their 501(c)(3) Internal Revenue Service determination letter, and non-U.S. organizations should provide evidence of non-profit status issued by a government entity).
5. **Proof of Registration:** A copy of the organization’s registration should be provided with the proposal application. U.S.-based organizations should submit a copy of their IRS determination letter. India-based organizations should submit a copy of their certificate of registration from the appropriate government organization.
6. **Security package:** The safety and security of all participants and activities under this project are the sole responsibility of the award recipient.  Due to the security climate in India, we require all proposals to include a security package to accompany appropriate programmatic elements within their proposal.  The security package must include but is not limited to: secure transportation; lodging, and emergency accountability and evacuation for any non- Indian personnel living or working in India, as well as a copy of your organization’s security operational procedures for high-risk environments.  Reasonable costs for necessary security are allowable expenses and should be included in the proposed budget.  If a security company is engaged and funded under the award, it must be registered by the Government of India to operate in India.  We require award recipients to notify the Public Diplomacy Section in advance when U.S. citizens are traveling to India.
7. **Risk Analysis Management:** To qualify for final consideration, certain applicants must undertake the Risk Analysis Management (RAM) vetting process by providing Risk Analysis Information (RAI) about their “key individuals” (i.e., individuals with the ability to control applicant organizations’ funds), including “key individuals” from selected sub-recipients. **Please note: these individuals *could* be different from the key personnel listed in the section 4 of required documents.** The purpose of vetting potential contractors and grantees is to reduce the risk that foreign assistance funding is provided to terrorists or their supporters. **Potential grantees will be notified separately if RAI is required.** Applicants submit key individuals’ RAI by completing the Risk Analysis Information Form, DS-4184, through the secure web portal at [https://ramportal.state.gov](https://j1visa.state.gov/sponsors/become-a-sponsor/). The DS-4184 requests the following RAI for each key individual: Full Name; Aliases; Gender; Birth Place; Birthdate; Home/Work Addresses; Phone Numbers; Employer; Professional Title; Email Addresses; Skype ID (if included, email address is also required); Numbers from All Official IDs (e.g., passports, ID cards, etc.); Nationalities; and Social Security Number (if U.S. person). Questions about the DS-4184 form may be emailed to [RAM@state.gov](https://step.state.gov/step/). Failure to submit information when requested, or failure to pass vetting, may be grounds for rejecting your proposal.
8. **High Risk Designation:** Awardees that are deemed to be high risk will be held to special award conditions. Due to the challenging operating environment in Afghanistan, awards issued under this NOFO will be designated high risk. At a minimum, the recipient will be required to submit quarterly detailed financial reports (see Reporting Requirements below). Recipients may also be required, upon request of the GO or GOR, to provide electronic copies of receipts or other supporting documentation (e.g., timesheets, travel documents) for costs incurred. The government may withhold 10% of the U.S. government total of the award until final reports have been reviewed and approved by the GO. The recipient may be required to pay all salaries supported by the grant via electronic funds transfer. Other special award conditions may also be included if deemed appropriate by the Grants Officer.

Other items NOT required/requested with the application submission, but which *may* be requested if your application is approved to move forward in the review process include:

* 1. Copies of an organization or program audit within the last two (2) years
	2. Copies of relevant human resources, financial, or procurement policies
	3. Copies of other relevant organizational policies or documentation that would help the Department determine your organization’s capacity to manage a federal grant award overseas.
	4. Information to determine what financial controls and standard operating procedures an organization uses to procure goods and services, hire staff and track time and attendance, pay for grant-related travel, and identify other financial transactions that may be necessary to undertake the project activities
	5. The Embassy reserves the right to request any additional programmatic and/or financial information regarding the proposal.

3. Unique Entity Identifier and System for Award Management (SAM.gov)

**Required Registration:** All organizations applying for grants (except individuals) must obtain a SAM.gov registration as well as a Unique Entity Identifier Number (UEI).  Registration through [www.SAM.gov](https://www.ecfr.gov/cgi-bin/text-idx) is free of charge.

The Unique Entity Identifier (UEI) is one of the data elements mandated by Public Law 109-282, the Federal Funding Accountability and Transparency Act (FFATA), for all Federal awards.  SAM.gov is the Federal government's primary database for complying with FFATA reporting requirements.  OMB designated SAM.gov as the central repository to facilitate applicant and recipient use of a single public website that consolidates data on all federal financial assistance.  Under the law, it is mandatory to register in SAM.gov.

Starting April 2022, the UEI will be assigned when an organization registers or renews its registration in SAM.gov at [www.SAM.gov](https://www.ecfr.gov/cgi-bin/retrieveECFR?url=http%3A%2F%2Fwww.sam.gov%2F&data=04%7C01%7Cfjeldkk%40state.gov%7C8a179f425ec644553b0a08da0c446ace%7C66cf50745afe48d1a691a12b2121f44b%7C0%7C0%7C637835785239027166%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000&sdata=1O%2BquRWkk5TWZ9R%2FzeWA%2BjVqSPwgts5FKs5lUXBCVGU%3D&reserved=0).  To access SAM.gov an organization is required to have a Login.gov account. Organization can create an account at [https://login.gov/](https://travel.state.gov/content/passports/en/alertswarnings.html?url=https%3A%2F%2Flogin.gov%2F&data=04%7C01%7Cfjeldkk%40state.gov%7C8a179f425ec644553b0a08da0c446ace%7C66cf50745afe48d1a691a12b2121f44b%7C0%7C0%7C637835785239027166%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000&sdata=tZgLWndOJE4QfgsenOHTZxlAyGwD1%2FcsHk9zT0XqO9g%3D&reserved=0).   As a reminder, organizations need to renew its sam.gov registration annually.

[www.sam.gov](http://www.SAM.gov?url=http%3A%2F%2Fwww.sam.gov%2F&data=04%7C01%7Cfjeldkk%40state.gov%7C8a179f425ec644553b0a08da0c446ace%7C66cf50745afe48d1a691a12b2121f44b%7C0%7C0%7C637835785239027166%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000&sdata=1O%2BquRWkk5TWZ9R%2FzeWA%2BjVqSPwgts5FKs5lUXBCVGU%3D&reserved=0#_blank) requires all entities to renew their registration once a year in order to maintain an active registration status in SAM.gov.  It is the responsibility of the applicant to ensure it has an active registration in SAM.gov.

If an organization plans to issue a sub-contract or sub-award, those sub-awardees must also have a unique entity identifier (UEI number).  Those entities can register for a UEI only at SAM.gov.

If an organization does not have an active registration in SAM.gov prior to submitting an application, the application could be deemed **ineligible.**  All organizations applying for grants (except individuals) must obtain these registrations, the latter are free of charge.

**Please note**: Any applicant with an exclusion in the System for Award Management (SAM) is not eligible to apply for an assistance award in accordance with the OMB guidelines at 2 CFR 180 that implement Executive Orders 12549 (3 CFR, 1986 Comp., p. 189) and 12689 (3 CFR, 1989 Comp., p. 235), “Debarment and Suspension.”

4. Submission Dates and Times

**Submission Deadline:** All applications must be received by June 7, 2024[11:59 pm midnight Washington DC]. For the purposes of determining if an award is submitted on time, PDS will utilize the timestamp provided by Grants.gov. This deadline is firm and is not a rolling deadline. If organizations fail to meet the deadline noted above their application will be considered ineligible and will not be considered for funding.

**Application Submission Process:** There are two application submissions methods available to applicants. Applicants may submit their application using Submission Method A **or** Submission Method B outlined below**.**

* **Submission Method A**: Submitting all application materials through Grants.gov.  For those opting to apply through Grants.gov, thorough instructions on the application process are available at [http://www.grants.gov](http://www.grants.gov/). For questions relating to Grants.gov, please call the Grants.gov Contact Center at 1-800-518-4726 or go to <https://www.grants.gov/support.html>. Please note that ND\_GrantApplications@state.gov is unable to assist with technical questions or problems applicants experience with Grants.gov.
* **Submission Method B**:  Submitting all application materials directly to the following email address: ND\_GrantApplications@state.gov

Applicants opting to submit applications via email to **must** include the Funding Opportunity Title and Funding Opportunity Number in the subject line of the email.

1. Funding Restrictions:

Pre-award costs are not an allowable expense for this funding opportunity.

1. Other Submission Requirements

Applicants must acquire all required registrations and rights in the United States and India. All intellectual property considerations and rights must be fully met in the United States and India.

Any sub-recipient organization must also meet all the U.S. and India requirements described above.

**India based organization should be F.C.R.A. compliant.**

Applicants should be familiar with India’s Foreign Contribution Regulation Act (FCRA) and confirm their ability to meet all proposed program requirements and expectations.

**E. APPLICATION REVIEW INFORMATION**

1. Criteria: Each application submitted under this announcement will be evaluated and rated on the basis of the criteria enumerated below. The criteria are designed to assess the quality of the proposed project, and to determine the likelihood of its success.

* **Quality and Feasibility of the Program Idea** **– 20 points:** The program idea should be innovative and well developed, with sufficient detail about how project activities will be carried out. The proposals should demonstrate originality and outline clear, achievable objectives. The proposal includes a reasonable implementation timeline. The project scope is appropriate and clearly defined. Proposals should also demonstrate how the program will support diversity, equity, inclusion and accessibility (DEIA) principles and engage underserved communities in their program’s administration, design, and implementation.
* **Organizational Capacity and Record on Previous Grants – 20 points:**
	+ The project proposal demonstrates that the organization has sufficient expertise, skills, and human resources to implement the project.
	+ The organization demonstrates that it has a clear understanding of the underlying issue that the project will address.
	+ The organization demonstrates capacity for successful planning and responsible fiscal management. This includes a financial management system and a bank account.
	+ Applicants who have received grant funds previously have been compliant with applicable rules and regulations.
	+ Where partners are described, the applicant details each partner’s respective role and provides curriculum vitae (CVs) for persons responsible for the project and financial administration. Proposed personnel, institutional resources, and partners are adequate and appropriate
* **Project Planning/Ability to Achieve Objectives – 20 points:** The project plan is well developed, with sufficient detail about how activities will be carried out. The proposal specifies target audiences, participant recruitment, and geographic areas of implementation. The proposal outlines clear, achievable objectives. The proposal includes a reasonable implementation timeline. The project scope is appropriate and clearly defined.
* **Budget – 10 points:** The budget and narrative justification are sufficiently detailed. The budget demonstrates that the organization has devoted time to accurately determine expenses associated with the project instead of providing rough estimates. Costs are reasonable in relation to the proposed activities and anticipated results. The results and proposed outcomes justify the total cost of the project. Budget items are reasonable, allowable, and allocable.
* **Monitoring and Evaluation - 15 points:** There is a complete and thorough draft submission of a M&E Performance Monitoring Plan (PMP). This will include a list of proposed project activities, corresponding milestone, output, and outcome indicators, a description of data collection methods, and a timeline for collecting such information. The proposal presents a clear theory of change on how the program will address that problem. Further, if the project requires selecting participants, or beneficiaries, the proposal should articulate how the selection will be done in an equitable way. Use of the suggested template (Attachments 3 & 3.1) will satisfy these requirements. Funded projects will have their plans finalized during the negotiation phase, and monitoring plans may be subject to periodic updates throughout the life of the project.
* **Sustainability – 15 points:** The project proposal describes clearly the approach that will be used to ensure maximum sustainability or advancement of project goals after the end of project activity.
1. Review and Selection Process
2. Acknowledgement of receipt. Applicants will receive acknowledgment of receipt of their proposal.
3. Review. All submissions are screened for technical eligibility. **If a submission is missing any required forms/documents listed above in Section D, it will be considered ineligible and will not be reviewed by the grants review committee.** A technical review panel will review eligible proposals based upon the criteria noted in this NOFO.
4. Follow up notification. Applicants will generally be notified within 120 days after the NOFO deadline regarding the results of the review panel.

3. Responsibility/Qualification Information in SAM.gov (formerly, Federal Awardee Performance & Integrity Information System (FAPIIS)

1. That the Federal awarding agency, prior to making a Federal award with a total amount of Federal share greater than the simplified acquisition threshold, is required to review and consider any information about the applicant that is in the designated integrity and performance system accessible through SAM (currently FAPIIS) (see 41 U.S.C. 2313);
2. That an applicant, at its option, may review information in the designated integrity and performance systems accessible through SAM and comment on any information about itself that a Federal awarding agency previously entered and is currently in the designated integrity and performance system accessible through SAM;
3. That the Federal awarding agency will consider any comments by the applicant, in addition to the other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under Federal awards when completing the review of risk posed by applicants as described in §200.206 Federal awarding agency review of risk posed by applicants.

**F. FEDERAL AWARD ADMINISTRATION INFORMATION**

The grant award or cooperative agreement will be written, signed, awarded, and administered by the Grants Officer. The assistance award agreement is the authorizing document, and it will be provided to the recipient for review and signature by email. The recipient may only start incurring project expenses beginning on the start date shown on the grant award document signed by the Grants Officer.

If a proposal is selected for funding, the Department of State has no obligation to provide any additional future funding. Renewal of an award to increase funding or extend the period of performance is at the discretion of the Department of State.

The Federal government is not obligated to make any Federal award as a result of the announcement. Issuance of this NOFO does not constitute an award commitment on the part of the U.S. government, nor does it commit the U.S. government to pay for costs incurred in the preparation and submission of proposals. Further, the U.S. government reserves the right to reject any or all proposals received. The U.S. government also reserves the right to make an award in excess of the award ceiling.

**Administrative and National Policy Requirements**

Terms and Conditions:

Before submitting an application, applicants should review all the terms and conditions and required certifications which will apply to this award, to ensure that they will be able to comply.

These include:

* [2 CFR 25 - UNIVERSAL IDENTIFIER AND SYSTEM FOR AWARD MANAGEMENT](https://www.ecfr.gov/cgi-bin/text-idx?SID=81a5f41de81c46a9844617d93a9db081&mc=true&node=pt2.1.25&rgn=div5)
* [2 CFR 170 - REPORTING SUBAWARD AND EXECUTIVE COMPENSATION INFORMATION](https://www.ecfr.gov/cgi-bin/text-idx?SID=81a5f41de81c46a9844617d93a9db081&mc=true&node=pt2.1.170&rgn=div5)
* [2 CFR 175 - AWARD TERM FOR TRAFFICKING IN PERSONS](https://www.ecfr.gov/cgi-bin/text-idx?SID=81a5f41de81c46a9844617d93a9db081&mc=true&node=pt2.1.175&rgn=div5)
* [2 CFR 182 - GOVERNMENTWIDE REQUIREMENTS FOR DRUG-FREE WORKPLACE (FINANCIAL ASSISTANCE)](http://www.grants.gov/?SID=81a5f41de81c46a9844617d93a9db081&mc=true&node=pt2.1.182&rgn=div5)
* [2 CFR 183 - NEVER CONTRACT WITH THE ENEMY](https://travel.state.gov/content/passports/en/alertswarnings/worldwide-caution.html?SID=81a5f41de81c46a9844617d93a9db081&mc=true&node=pt2.1.183&rgn=div5)
* 2 CFR 600 – DEPARTMENT OF STATE REQUIREMENTS
* [U.S. DEPARTMENT OF STATE STANDARD TERMS AND CONDITIONS](https://www.state.gov/about-us-office-of-the-procurement-executive/)

In accordance with the Office of Management and Budget’s guidance located at 2 CFR part 200, all applicable Federal laws, and relevant Executive guidance, the Department of State will review and consider applications for funding, as applicable to specific programs, pursuant to this notice of funding opportunity in accordance with the following: NOTE:

* [Guidance for Grants and Agreements in Title 2 of the Code of Federal Regulations](https://www.ecfr.gov/cgi-bin/text-idx?SID=81a5f41de81c46a9844617d93a9db081&mc=true&node=pt2.1.200&rgn=div5) (2 CFR), as updated in the Federal Register’s 85 FR 49506 on August 13, 2020, particularly on:
	+ Selecting recipients most likely to be successful in delivering results based on the program objectives through an objective process of evaluating Federal award applications (2 CFR part 200.205),
	+ Prohibiting the purchase of certain telecommunication and video surveillance services or equipment in alignment with section 889 of the National Defense Authorization Act of 2019 (Pub. L. No. 115—232) (2 CFR part 200.216),
	+ Promoting the freedom of speech and religious liberty in alignment with *Promoting Free Speech and Religious Liberty* (E.O. 13798) and *Improving Free Inquiry, Transparency, and Accountability at Colleges and Universities* (E.O. 13864) (§§ 200.300, 200.303, 200.339, and 200.341),
	+ Providing a preference, to the extent permitted by law, to maximize use of goods, products, and materials produced in the United States (2 CFR part 200.322), and
	+ Terminating agreements in whole or in part to the greatest extent authorized by law, if an award no longer effectuates the program goals or agency priorities (2 CFR part 200.340).

**Diversity, Equity, Inclusion, and Accessibility**

The Bureau of South and Central Asian Affairs (SCA) prioritizes inclusive and integrated programs that address barriers to access for individuals and groups based on their religion, gender, disabilities, ethnicity, or sexual orientation and gender identity. In accordance with the [Executive Order on Advancing Racial Equity and Underserved Communitie](https://www.whitehouse.gov/briefing-room/presidential-actions/2021/01/20/executive-order-advancing-racial-equity-and-support-for-underserved-communities-through-the-federal-government/)s, proposals should demonstrate how the program will advance principles of Diversity, Equity, Inclusion, and Accessibility (DEIA) related to race, ethnicity, religion, income, geography, gender identity, sexual orientation, and/or disability.

Proposals should demonstrate how addressing racial equity and/or the status of underserved communities will enhance the program’s goals and objectives, as well as the experience of participants.

The support of underserved communities will be part of the review criteria for this opportunity. Therefore, proposals should clearly demonstrate how the program will support and advance equity and engage underserved communities in the program’s administration, design, and implementation phases.

Definitions:

*Diversity*:

The term “diversity” means the practice of including the many communities, identities, races, ethnicities, backgrounds, abilities, cultures, and beliefs of a community.

*Equity:*

The term “equity” means the consistent and systematic fair, just, and impartial treatment of all individuals, including individuals who belong to underserved communities that have been denied such treatment, such as ethnic minorities, and indigenous persons, members of religious minorities; lesbian, gay, bisexual, transgender, and queer (LGBTQ+) persons; persons with disabilities; persons who live in rural areas; and persons otherwise adversely affected by persistent poverty or inequality.

*Inclusion*:

The term “inclusion” means the recognition, appreciation, and use of the talents and skills of persons of all backgrounds.

*Accessibility*:

The term “accessibility” means the design, construction, development, and maintenance of facilities, information and communication technology, programs, and services so that all people, including people with disabilities, can fully and independently use them.

*Underserved communities:*

The term “underserved communities” refers to populations sharing a particular characteristic, as well as geographic communities, that have been systematically denied a full opportunity to participate in aspects of economic, social, and civic life, as exemplified by the list in the preceding definition of “equity.”

**Reporting**

Recipients are required to submit quarterly program progress and financial reports throughout the project period. The quarterly progress report should include an up-to-date copy of the PMP datasheet. Progress and financial reports are due 30 days after the reporting period. Final certified programmatic and financial reports are due 120 days after the close of the project period.

All reports are to be submitted electronically.

Awardees that are deemed to be high risk may be required to submit more extensive and frequent reports until their high-risk designation has been removed.

The Awardee must also provide the Embassy on an annual basis an inventory of all the U.S. government provided equipment using the SF428 form.

**Foreign Assistance Data Review**

As required by Congress, the Department of State must make progress in its efforts to improve tracking and reporting of foreign assistance data through the Foreign Assistance Data Review (FADR). The FADR requires tracking of foreign assistance activity data from budgeting, planning, and allocation through obligation and disbursement. Successful applicants will be required to report and draw down federal funding based on the appropriate FADR Data Elements, indicated within their award documentation. In cases of more than one FADR Data Element, typically program or sector and/or regions or country, the successful applicant will be required to maintain separate accounting records.

**G. FEDERAL AWARDING AGENCY CONTACTS**

If you have any questions about this funding opportunity or the grant application process, please contact:  ND\_GrantApplications@state.gov

Questions and Answers or FAQ will be posted on [www.GRANTS.gov](http://www.GRANTS.gov)

**H. OTHER INFORMATION**

**Guidelines for Budget Justification**

Personnel and Fringe Benefits: Describe the wages, salaries, and benefits of temporary or permanent staff who will be working directly for the applicant on the program, and the percentage of their time that will be spent on the program.

Travel: Estimate the costs of travel and per diem for this program, for program staff, consultants or speakers, and participants/beneficiaries. If the program involves international travel, include a brief statement of justification for that travel.

Equipment: Describe any machinery, furniture, or other personal property that is required for the program, which has a useful life of more than one year (or a life longer than the duration of the program), and costs at least $5,000 per unit.

Supplies: List and describe all the items and materials, including any computer devices, that are needed for the program. If an item costs more than $5,000 per unit, then put it in the budget under Equipment.

Contractual: Describe goods and services that the applicant plans to acquire through a contract with a vendor. Also describe any sub-awards to non-profit partners that will help carry out the program activities.

Other Direct Costs: Describe other costs directly associated with the program, which do not fit in the other categories. For example, shipping costs for materials and equipment or applicable taxes. All “Other” or “Miscellaneous” expenses must be itemized and explained.

Indirect Costs: These are costs that cannot be linked directly to the program activities, such as overhead costs needed to help keep the organization operating. If your organization has a Negotiated Indirect Cost Rate (NICRA) and includes NICRA charges in the budget, attach a copy of your latest NICRA. Organizations that have never had a NICRA may request indirect costs of 10% of the modified total direct costs as defined in 2 CFR 200.68.

“Cost Sharing” refers to contributions from the organization or other entities other than the U.S. Embassy. It also includes in-kind contributions such as volunteers’ time and donated venues.

Alcoholic Beverages:  Please note that award funds cannot be used for alcoholic beverages.

**Branding Requirements**

 As a condition of receipt of a grant award, all materials produced pursuant to the award, including training materials, materials for recipients or materials to communicate or promote with foreign audiences a program, event, project, or some other activity under an agreement, including but not limited to invitations to events, press materials, and backdrops, podium signs, etc. must be marked appropriately with the standard, rectangular U.S. flag in a size and prominence equal to (or greater than) any other logo or identity. Note: Exceptions to the branding requirement are allowable under certain conditions. If an applicant is notified that their award has been chosen for funding, the Grants Officer will determine, in consultation with the applicant, if an exception is applicable.

**Copyrights and Proprietary Information**

If any of the information contained in your application is proprietary, please note in the footer of the appropriate pages that the information is Confidential – Proprietary. Applicants should also note what parts of the application, program, concept, etc. are covered by copyright(s), trademark(s), or any other intellectual property rights and provide copies of the relevant documentation to support these copyrights.

**STEP Enrollment**

U.S. citizens who travel to India are encouraged to enroll in the Department of State's Smart Traveler Enrollment Program (STEP) available at: https://step.state.gov/step/. Enrollment enables citizens to receive security-related messages from the Embassy and makes it easier for us to locate you in an emergency. The Embassy also recommends that all travelers review the State Department's [travel website at travel.state.gov](http://travel.state.gov/)for the [Worldwide Caution](http://www.grants.gov/web/grants/forms.html), [Travel Warnings](https://travel.state.gov/content/passports/en/alertswarnings.html), Travel Alerts, and India [Specific Information](https://gcc02.safelinks.protection.outlook.com/).